

Tutorial Programme

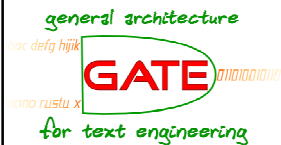
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Tutorial Organiser

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Introduction to Text Summarization and Other Information Access Technologies

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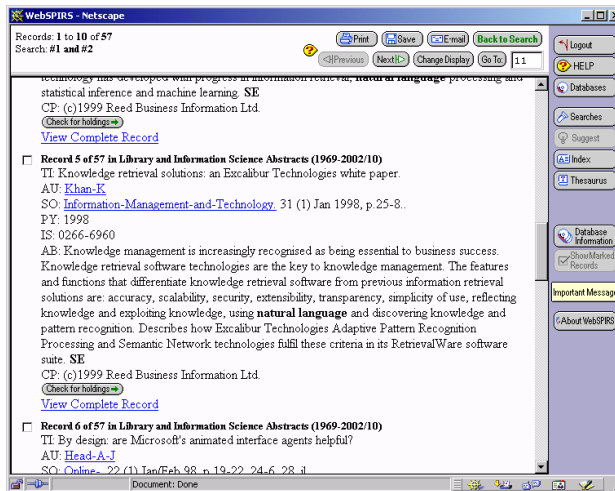


LREC 2008 – Marrakech - Morocco

Automatic Text Summarization

- An information access technology that given a document or sets of *related* documents, extracts the most important content from the source(s) taking into account the user or task at hand, and presents this content in a well formed and concise text

Examples of summaries – abstract of research article



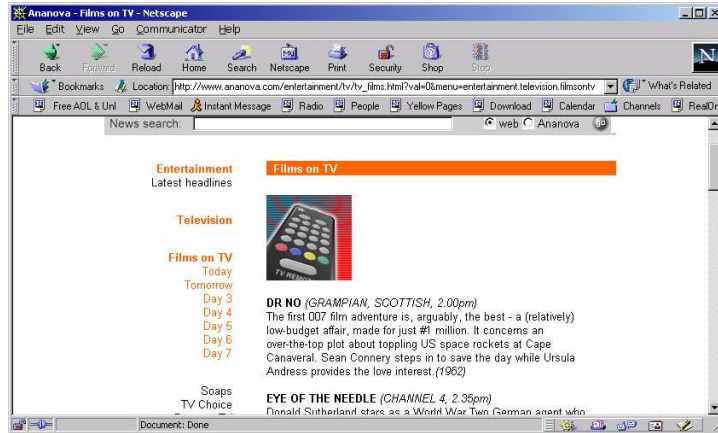
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Examples of summaries – headline + leading paragraph



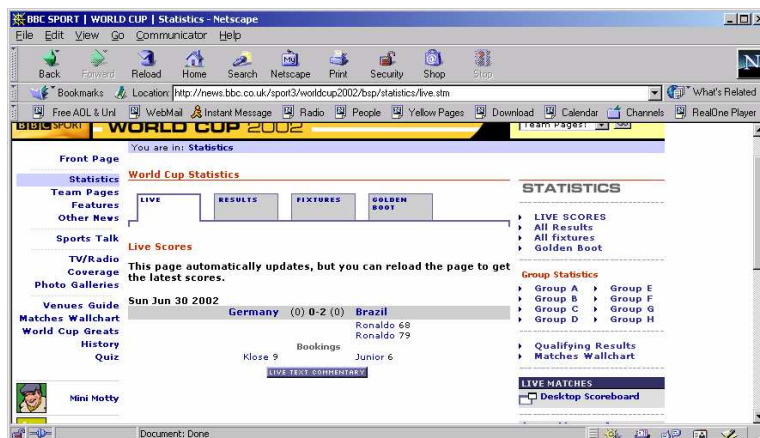
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Examples of summaries – movie preview



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Examples of summaries – sports results



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Summarization Parameters

- input document or document cluster
- compression: the amount of text to present or the length of the summary to the length of the source.
- type of summary: indicative/informative/... abstract/extract...
- other parameters: topic/question/user profile/...

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What is a summary for?

- Direct functions
 - communicates substantial information;
 - keeps readers informed;
 - overcomes the language barrier;
- Indirect functions
 - classification; indexing; keyword extraction; etc.

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Typology

- **Indicative**
 - indicates types of information
 - “alerts”
- **Informative**
 - includes quantitative/qualitative information
 - “informs”
- **Critic/evaluative**
 - evaluates the content of the document

ATTENTION: Earthquake in Turkey!!!!

Earthquake in the town of Cat in Turkey. It measured 5.1 in the Richter scale. 4 people dead confirmed.

Earthquake in the town of Cat in Turkey was the most devastating in the region.

Indicative/Informative distinction

INDICATIVE

The work of Consumer Advice Centres is examined. The information sources used to support this work are reviewed. The recent closure of many CACs has seriously affected the availability of consumer information and advice. The contribution that public libraries can make in enhancing the availability of consumer information and advice both to the public and other agencies involved in consumer information and advice, is discussed.

INFORMATIVE

An examination of the work of Consumer Advice Centres and of the information sources and support activities that public libraries can offer. CACs have dealt with pre-shopping advice, education on consumers' rights and complaints about goods and services, advising the client and often obtaining expert assessment. They have drawn on a wide range of information sources including case records, trade literature, contact files and external links. The recent closure of many CACs has seriously affected the availability of consumer information and advice. Libraries can cooperate closely with advice agencies through local coordinating committees, shared premises, joint publicity referral and the sharing of professional expertise.

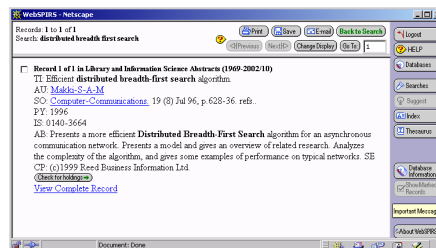
More on typology

- extract vs abstract
 - fragments from the document
 - newly re-written text
- generic vs query-based vs user-focused
 - all major topics equal coverage
 - based on a question "what are the causes of the war?"
 - users interested in chemistry
- for novice vs for expert
 - background
 - Just the new information
- single-document vs multi-document
 - research paper
 - proceedings of a conference
- in textual form vs items vs tabular vs structured
 - paragraph
 - list of main points
 - numeric information in a table
 - with "headlines"
- in the language of the document vs in other language
 - monolingual
 - cross-lingual

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Abstracting services

- Abstracting journals
 - not very popular today
- Abstracting databases
 - CD-ROM
 - Internet
- Mission
 - keep the scientific community informed
- LISA, CSA, ERIC, INSPEC, etc.
- employ professional abstractors



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Transformations during abstracting

| Source document | Abstract |
|----------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------|
| There were significant positive associations between the concentration of the substance administered and mortality in rats and mice of both sexes. | Mortality in rats and mice of both sexes was dose related. |
| There was no convincing evidence to indicate that endrin ingestion induced any of the different types of tumors which were found in the treated animals. | No treatment related tumors were found in any of the animals. |

Cremmins: The art of abstracting

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Study of professional abstractors/abstracts

- Abstractor's at work (Endres-Niggemeyer'95)
- Abstract's structure (Liddy'91)
- What information from documents is used to create abstracts (Saggion&Lapalme'02)

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Automatic Summarization

- 50s-70s
 - Statistical techniques (scientific text)
- 80s
 - Artificial Intelligence (short texts, narrative, some news)
- 90s-
 - Hybrid systems (news, some scientific text)
- 00s-
 - Headline generation; multi-document summarization (much news, more diversity: law, medicine, e-mail, Web pages, etc.); hand-held devices; multimedia

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Summarization and other information access technologies

- Information Retrieval
 - open domain, given a "query" returns documents matching the query
 - summaries can provide access points for quick check document relevance specially if they take into consideration the user query
- Information Extraction
 - domain dependent, given a "template" instantiate its slots with "strings" from the document
 - template represents the key information of an event
 - Domain specific summaries can be created from the template

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Summarization and other information access technologies

- Question answering
 - open domain, given a well formed natural language question and a collection of documents, returns answers to the question
 - summarization can be used to present the answers
 - definitions/profiles usually required in QA settings are specific types of summaries

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Summarization steps

- Text interpretation
 - phrases; sentences; propositions; etc.
- Unit selection
 - some sentences; phrases; props; etc.
- Condensation
 - delete duplication, generalization
- Generation
 - text-text; propositions to text; information to text

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Natural language processing to support summarization

detecting syntactic structure for condensation

I: Solomon, a sophomore at Heritage School in Convers, is accused of opening fire on schoolmates.

O: Solomon is accused of opening fire on schoolmates.

meaning to support condensation

I: 25 people have been killed in an explosion in the Iraqi city of Basra.

O: Scores died in Iraq explosion

discourse interpretation/coreference

I: And as a conservative Wall Street veteran, Rubin brought market credibility to the Clinton administration.

O: Rubin brought market credibility to the Clinton administration.

I: Victoria de los Angeles died in a Madrid hospital today. She was the most acclaimed Spanish soprano of the century. She was 81.

O: Spanish soprano De los Angeles died at 81.

Summarization by sentence extraction

- extract
 - subset of sentence from the document
- easy to implement and robust
- how to discover what type of linguistic/semantic information contributes with the notion of relevance?
- how extracts should be evaluated?
 - create ideal extracts
 - need humans to assess sentence relevance

Evaluation of extracts

choosing sentences

| N | Human | System |
|---|-------|--------|
| 1 | + | + |
| 2 | - | + |
| | | |
| n | - | - |

- precision $\frac{TP}{TP + FP}$

- recall $\frac{TP}{TP + FN}$

contingency table

| | | | |
|---|---|----|----|
| | | S | |
| | | + | - |
| H | + | TP | FN |
| | - | FP | TN |

$$TP + FN + TN + FP = n$$

False Negative

True Negative

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Evaluation of extracts (instance)

| N | Human | System |
|---|-------|--------|
| 1 | + | + |
| 2 | - | + |
| 3 | + | - |
| 4 | - | - |
| 5 | + | - |

| | | | |
|---|--|---|---|
| | | S | |
| H | | + | - |
| + | | 1 | 2 |
| - | | 1 | 1 |

- precision = 1/2

- recall = 1/3

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Summarization by sentence scoring and ranking

- Document = set of sentences S
- Features = set of features F
- For each sentence S_k in the document
 - For each feature F_i
 - $V_i = \text{compute_feature_value}(S_k, F_i)$
 - $\text{score}_k = \text{combine_features}(F)$
- Sorted = Sort ($\langle S_k, \text{score}_k \rangle$) in descending order of score_k
- Select top ranked m sentences from Sorted
- Show sentences in document order

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Superficial features for summarization

- Keyword distribution (Luhn'58)
- Position Method (Edmundson'69)
- Title Method (Edmundson'69)
- Cue Method/Indicative Phrases (Edmundson'69; Paice'81)

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Some details

- Keyword = a word “statistically” significant according to its distribution in document/corpus
 - each word gets a score
 - sentence gets a score (or value) according to the scores of the words it contains
- Title = a word from title
 - sentence gets a score according to the presence of title words

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Some details

- Cue = there is a predefined list of words with associated weights
 - associate to each word in a sentence its weight in the list
 - score sentence according to the presence of cue words
- Position = sentences at beginning of document are more important
 - associate a score to each sentence depending on its position in the document

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Experimental combination (Edmundson'69)

- Contribution of 4 features
 - title, cue, keyword, position
 - linear equation

$$Weight(S) = \alpha.Title(S) + \beta.Cue(S) + \gamma.Keyword(S) + \delta.Position(S)$$

- first the parameters are adjusted using training data

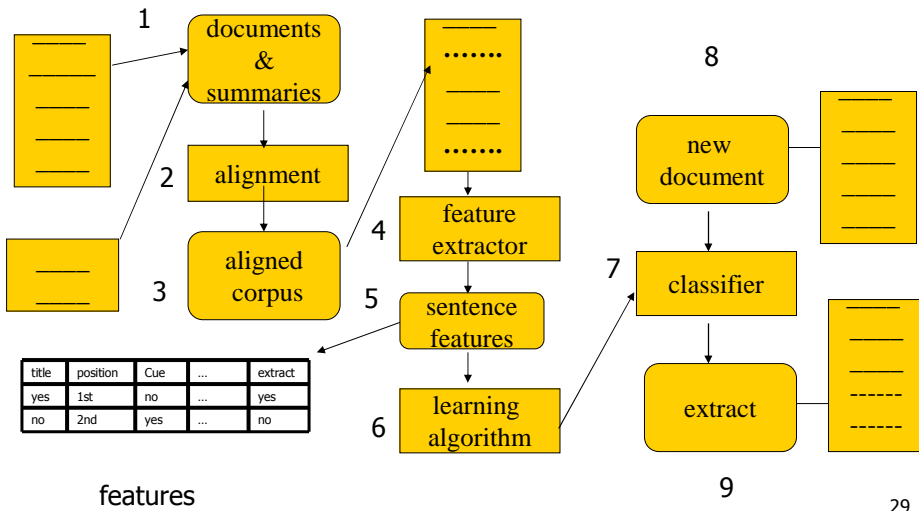
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Experimental combination

- All possible combinations $4^2 - 1$ (=15 possibilities)
 - title + cue; title; cue; title + cue + keyword; etc.
- Produces summaries for test documents
- Evaluates co-selection (precision/recall)
- Obtains the following results
 - best system
 - cue + title + position
 - individual features
 - position is best, then
 - cue
 - title
 - keyword

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Learning to extract



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Statistical combination

- method adopted by Kupiec&al'95
- need corpus of documents and extracts
 - professional abstracts
- alignment
 - program that identifies similar sentences
 - manual validation

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Statistical combination (features)

- length of sentence (true/false)

$$\text{len}(S) > u_l$$

- cue (true/false)

$$(S_i \cap DIC_{cue}) \neq \phi$$

or

$$\text{heading}(S_{i-1}) \wedge (S_{i-1} \cap DIC_{headings}) \neq \phi$$

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Statistical combination (features)

- position (discrete)
 - paragraph # $\{1, 2, \dots, 10\} \vee \{last, last-1, \dots, last-4\}$
 - in paragraph $\{initial, middle, final\}$
- keyword (true/false) $\text{rank}(S) > u_k$
- proper noun (true/false)
 - similar to keyword

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Statistical combination

- combination

sentence belongs to extract given features
 $p(s \in E | f_1, \dots, f_n)$

Bayes theorem

$$p(s \in E | f_1, \dots, f_n) = \frac{p(f_1, \dots, f_n | s \in E) \cdot p(s \in E)}{p(f_1, \dots, f_n)}$$

features in extract sentences
 $p(f_1, \dots, f_n | s \in E)$

prob. of sentence in extract
 $p(s \in E)$

features in corpus
 $p(f_1, \dots, f_n)$

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Statistical combination

- parameter estimation

assume independence

$$p(f_1, \dots, f_n | s \in E) = \prod p(f_i | s \in E)$$

estimate by counting

$$p(f_1, \dots, f_n) = \prod p(f_i)$$

$$p(s \in E)$$

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Statistical combination

- results for individual features
 - position
 - cue
 - length
 - keyword
 - proper name
- best combination
 - position+cue+length

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Problems with extracts

- Lack of cohesion

| | |
|---------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| source | <p>A single-engine airplane crashed Tuesday into a ditch beside a dirt road on the outskirts of Albuquerque, killing all five people aboard, authorities said.</p> <p>Four adults and one child died in the crash, which witnesses said occurred about 5 p.m., when it was raining, Albuquerque police Sgt. R.C. Porter said.</p> <p>The airplane was attempting to land at nearby Coronado Airport, Porter said.</p> <p>It aborted its first attempt and was coming in for a second try when it crashed, he said...</p> |
| extract | <p>Four adults and one child died in <u>the crash</u>, which witnesses said occurred about 5 p.m., when it was raining, Albuquerque police Sgt. R.C. Porter said.</p> <p><u>It</u> aborted <u>its</u> first attempt and was coming in for a second try when <u>it</u> crashed, he said.</p> |

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Problems with extracts

- Lack of coherence

source

Supermarket A announced a big profit for the third quarter of the year. The directory studies the creation of new jobs. Meanwhile, B's supermarket sales drop by 10% last month. The company is studying closing down some of its stores.

extract

Supermarket A announced a big profit for the third quarter of the year. The company is studying closing down some of its stores.

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Approaches to cohesion

- identification of document structure
- rules for the identification of anaphora
 - pronouns, logical and rhetorical connectives, and definite noun phrases
 - Corpus-based heuristics
- aggregation techniques
 - IF sentence contains anaphor THEN include preceding sentences
- anaphora resolution is more appropriate but
 - programs for anaphora resolution are far from perfect

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Approaches to cohesion

- BLAB project (Johnson & Paice'93 and previous works by same group)
 - rules for identification: "that" is :
 - non-anaphoric if preceded by research-verb (e.g. "assume", "show", etc.)
 - non-anaphoric if followed by pronoun, article, quantifier, demonstrative,...
 - external if no latter than 10th word of sentence
 - else: internal
 - selection (indicator) & rejection & aggregation rules; reported success: abstract > aggregation > extract

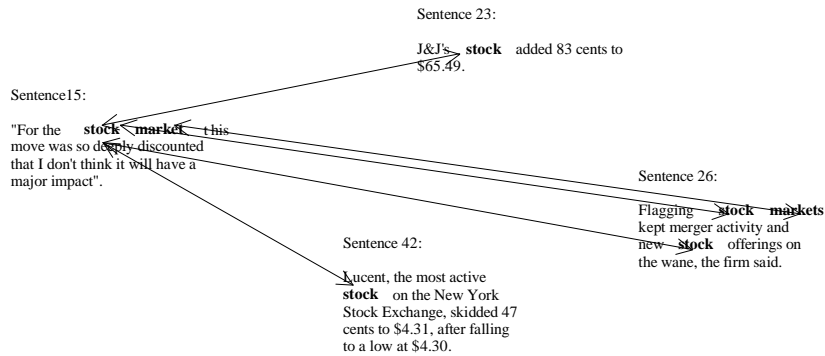
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Telepattan system: (Bembrahim & Ahmad'95)

- Link two sentences if
 - they contain words related by repetition, synonymy, class/superclass (hypernymy), paraphrase
 - *destruct* ~ *destruction*
 - use thesaurus (i.e., related words)
- pruning
 - $\text{links}(s_i, s_j) > \text{thr} \Rightarrow \text{bond}(s_i, s_j)$

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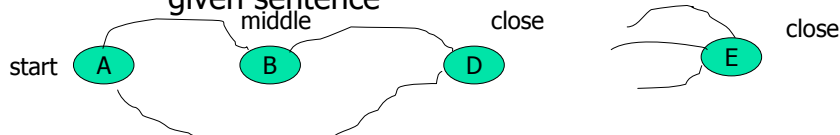
Telepattan system



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Telepattan system

- Classify sentences as
 - start topic, middle topic, end of topic, according to the number of links
 - this is based on the number of links to and from a given sentence



- Summaries are obtained by extracting sentences that open-continue-end a topic

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Lexical chains

- Lexical chain:
 - word sequence in a text where the words are related by one of the relations previously mentioned
- Use:
 - ambiguity resolution
 - identification of discourse structure
- Wordnet Lexical Database
 - synonymy: dog, can
 - hypernymy: dog, animal
 - antonym: dog, cat
 - meronymy (part/whole): dog, leg

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Extracts by lexical chains

- Barzilay & Elhadad'97; Silber & McCoy'02
- A chain C represents a "concept" in WordNet
 - *Financial institution* "bank"
 - *Place to sit down in the park* "bank"
 - *Sloppy land* "bank"
- A chain is a list of words, the order of the words is that of their occurrence in the text
- A noun N is inserted in C if N is related to C
 - relations used=identity; synonym; hypernym
- Compute lexical chains; score lexical chains in function of their members; select sentences according to membership to lexical chains of words in sentence

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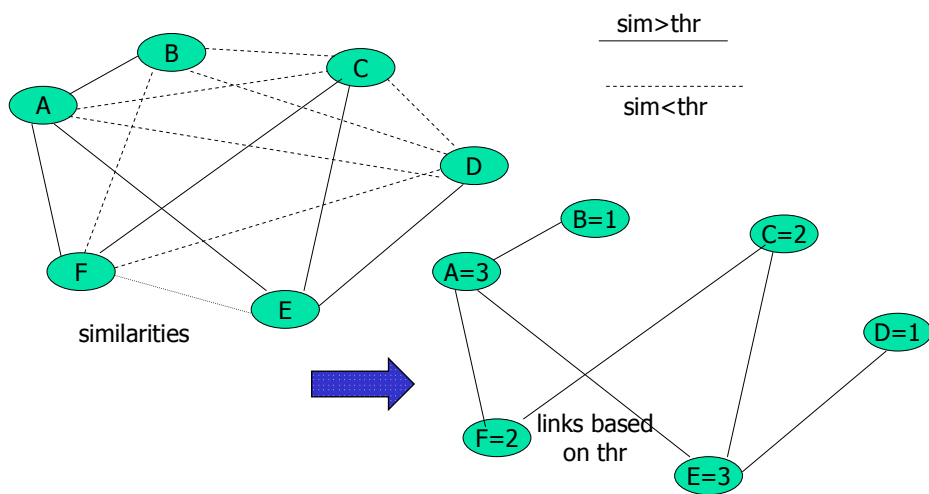
Information retrieval techniques (Salton&al'97)

- Vector Space Model
 - each text unit represented as $D_i = (d_{i1}, \dots, d_{in})$
- Similarity metric

$$sim(D_i, D_j) = \sum d_{ik} \cdot d_{jk}$$

- metric normalised to obtain 0-1 values
- Construct a graph of paragraphs.
Strength of link is the similarity metric
- Use threshold (thr) to decide upon similar paragraphs

Text relation map



Information retrieval techniques

- identify regions where paragraphs are well connected
- paragraph selection heuristics
 - bushy path
 - select paragraphs with many connections with other paragraphs and present them in text order
 - depth-first path
 - select one paragraph with many connections; select a connected paragraph (in text order) which is also well connected; continue
 - segmented bushy path
 - follow the bushy path strategy but locally including paragraphs from all "segments of text": a bushy path is created for each segment

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Information retrieval techniques

- Co-selection evaluation
 - because of low agreement across human annotators (~46%) new evaluation metrics were defined
 - optimistic scenario: select the human summary which gives best score
 - pessimistic scenario: select the human summary which gives worst score
 - union scenario: select the union of the human summaries
 - intersection scenario: select the overlap of human summaries

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Rhetorical analysis

- Rhetorical Structure Theory (RST)
 - Mann & Thompson'88
- Descriptive theory of text organization
- Relations between two text spans
 - nucleus & satellite (hypotactic)
 - nucleus & nucleus (paratactic)
 - "IR techniques have been used in text summarization. For example, X used term frequency. Y used tf*idf."

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Rhetorical analysis

- relations are deduced by judgement of the reader
- texts are represented as trees, internal nodes are relations
- text segments are the leafs of the tree
 - (1) Apples are very cheap. (2) Eat apples!!!
 - (1) is an argument in favour of (2), then we can say that (1) motivates (2)
 - (2) seems more important than (1), and coincides with (2) being the nucleus of the motivation

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Rhetorical analysis

- Relations can be marked on the syntax
 - John went to sleep because he was tired.
 - Mary went to the cinema and Julie went to the theatre.
- RST authors say that markers are not necessary to identify a relation
- However all RTS analysers rely on markers
 - “however”, “therefore”, “and”, “as a consequence”, etc.
- strategy to obtain a complete tree
 - apply rhetorical parsing to “segments” (or paragraphs)
 - apply a cohesion measure (vocabulary overlap) to identify how to connect individual trees

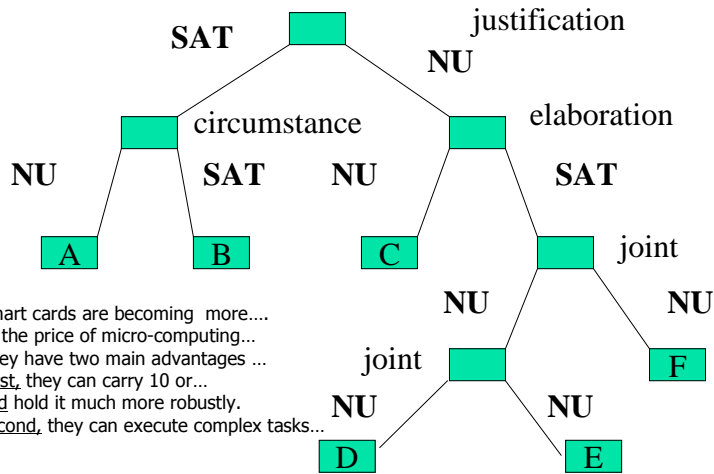
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Rhetorical analysis based summarization

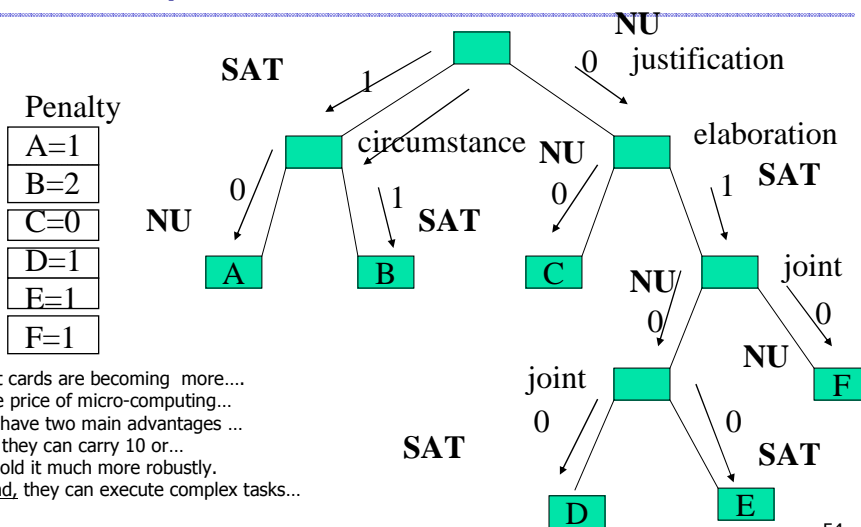
- (A) Smart cards are becoming more attractive
- (B) as the price of micro-computing power and storage continues to drop.
- (C) They have two main advantages over magnetic strip cards.
- (D) First, they can carry 10 or even 100 times as much information
- (E) and hold it much more robustly.
- (F) Second, they can execute complex tasks in conjunction with a terminal.

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Rhetorical tree



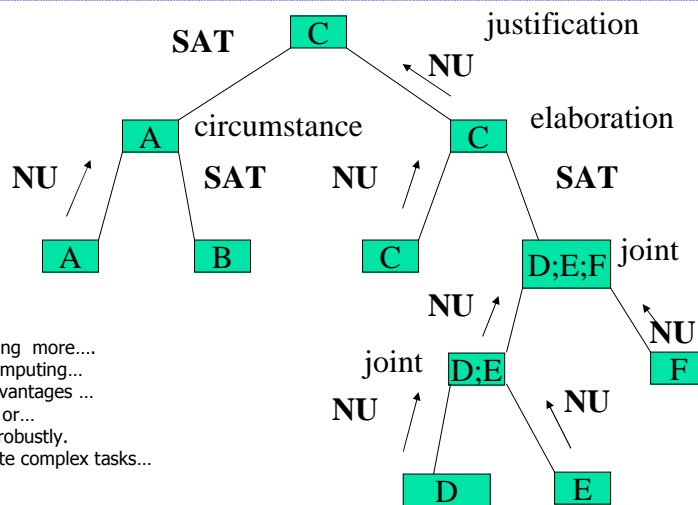
Penalty: Ono'94



RTS extract

- (C) They have two main advantages over magnetic strip cards.
- (A) Smart cards are becoming more attractive
 (C) They have two main advantages over magnetic strip cards.
 (D) First, they can carry 10 or even 100 times as much information
 (E) and hold it much more robustly.
 (F) Second, they can execute complex tasks in conjunction with a terminal.
- (A) Smart cards are becoming more attractive
 (B) as the price of micro-computing power and storage continues to drop.
 (C) They have two main advantages over magnetic strip cards.
 (D) First, they can carry 10 or even 100 times as much information
 (E) and hold it much more robustly.
 (F) Second, they can execute complex tasks in conjunction with a terminal.

Promotion: Marcu'97



RST extract

- (C) They have two main advantages over magnetic strip cards.
- (A) Smart cards are becoming more attractive
(C) They have two main advantages over magnetic strip cards.
- (A) Smart cards are becoming more attractive
(B) as the price of micro-computing power and storage continues to drop.
(C) They have two main advantages over magnetic strip cards.
(D) First, they can carry 10 or even 100 times as much information
(E) and hold it much more robustly.
(F) Second, they can execute complex tasks in conjunction with a terminal.

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Information Extraction

ALGIERS, May 22 (AFP) - At least 538 people were killed and 4,638 injured when a powerful earthquake struck northern Algeria late Wednesday, according to the latest official toll, with the number of casualties set to rise further. The epicentre of the quake, which measured 5.2 on the Richter scale, was located at Thenia, about 60 kilometres (40 miles) east of Algiers, ...

| | |
|-----------|-----------------|
| DATE | 21/05/2003 |
| DEATH | 538 |
| INJURED | 4,638 |
| EPICENTER | Thenia, Algeria |
| INTENSITY | 5.2, Richter |

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FRUMP (de Jong'82)

a small earthquake shook several Southern Illinois counties Monday night, the National Earthquake Information Service in Golden, Colo., reported. Spokesman Don Finley said the quake measured 3.2 on the Richter scale, "probably not enough to do any damage or cause any injuries." The quake occurred about 7:48 p.m. CST and was centered about 30 miles east of Mount Vernon, Finley said. It was felt in Richland, Clay, Jasper, Effington, and Marion Counties.

There was an earthquake in Illinois with a 3.2 Richter scale.

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CBA: Concept-based Abstracting (Paice&Jones'93)

- Summaries in an specific domain, for example crop husbandry, contain specific concepts.
 - SPECIES (the crop in the study)
 - CULTIVAR (variety studied)
 - HIGH-LEVEL-PROPERTY (specific property studied of the cultivar, e.g. yield, growth)
 - PEST (the pest that attacks the cultivar)
 - AGENT (chemical or biological agent applied)
 - LOCALITY (where the study was conducted)
 - TIME (years of the study)
 - SOIL (description of the soil)

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CBA

- Given a document in the domain, the objective is to instantiate with “well formed strings” each of the concepts
- CBA uses patterns which implement how the concepts are expressed in texts
 - “fertilized with *procymidane*” gives the pattern “fertilized with AGENT”
- Can be quite complex and involve several concepts
 - PEST is a ? pest of SPECIES
where ? matches a sequence of input tokens

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CBA

- Each pattern has a weight
- Criteria for variable instantiation
 - Variable is inside pattern
 - Variable is on the edge of the pattern
- Criteria for candidate selection
 - all hypothesis' substrings are considered
 - decrease of SPECIES
 - effect of ? in SPECIES
 - count repetitions and weights
 - select one substring for each semantic role

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CBA

- Canned-text based generation

this paper studies the effect of [AGENT] on the [HLP] of [SPECIES] OR this paper studies the effect of [METHOD] on the [HLP] of [SPECIES] when it is infested by [PEST]...

Summary: *This paper studies the effect of G. pallida on the yield of potato. An experiment in 1985 and 1986 at York was undertaken.*

- evaluation
 - central and peripheral concepts
 - form of selected strings
- pattern acquisition can be done automatically
- informative summaries include verbatim "conclusive" sentences from document

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Headline generation: Banko&al'00

- Generate a summary shorter than a sentence
 - Text: Acclaimed Spanish soprano de los Angeles dies in Madrid after a long illness.
 - Summary: de Los Angeles died
- Generate a sentence with pieces combined from different parts of the texts
 - Text: Spanish soprano de los Angeles dies. She was 81.
 - Summary: de Los Angeles dies at 81
- Method borrowed from statistical machine translation
 - model of word selection from the source
 - model of realization in the target language

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Headline generation

- Content selection
 - how many and what words to select from document
- Content realization
 - how to put words in the appropriate sequence in the headline such that it looks ok
- training: available texts + headlines

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Example

President Clinton met with his top Mideast adviser, including Secretary of State Madeleine Albright and U.S. peace envoy Dennis Ross, in preparation for a session with Israel Prime Minister Benjamin Netanyahu tomorrow. Palestinian leader Yasser Arafat is to meet with Clinton later this week. Published reports in Israel say Netanyahu will warn Clinton that Israel can't withdraw from more than nine percent of the West Bank in its next scheduled pullback, although Clinton wants 12-15 percent pullback.

- original title: *U.S. pushes for mideast peace*
- automatic title
 - *clinton*
 - *clinton wants*
 - *clinton netanyahu arafat*
 - *clinton to mideast peace*

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Cut & Paste summarization

- Cut&Paste Summarization: Jing&McKeown'00
 - "HMM" for word alignment to answer the question: what document positions a word in the summary comes from?
 - a word in a summary sentence may come from different positions, not all of them are equally likely
 - given words $I_1 \dots I_n$ (in a summary sentence) the following probability table is needed:
 $P(I_{k+1} = \langle S2, W2 \rangle \mid I_k = \langle S1, W1 \rangle)$
 - they associate probabilities by hand following a number of heuristics
 - given a sentence summary, the alignment is computed using the Viterbi algorithm

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Summary sentence:

(F0:S1 arthur b sackler vice president for law and public policy of time warner inc) (F1:S-1 and) (F2:S0 a member of the direct marketing association told) (F3:S2 the communications subcommittee of the senate commerce committee) (F4:S-1 that legislation) (F5:S1to protect) (F6:S4 children' s) (F7:S4 privacy) (F8:S4 online) (F9:S0 could destroy the spontaneous nature that makes the internet unique)

Source document sentences:

Sentence 0: a proposed new law that would require web publishers to obtain parental consent before collecting personal information from children (F9 could destroy the spontaneous nature that makes the internet unique) (F2 a member of the direct marketing association told) a senate panel thursday

Sentence 1: (F0 arthur b sackler vice president for law and public policy of time warner inc) said the association supported efforts (F5 to protect) children online but he urged lawmakers to find some middle ground that also allows for interactivity on the internet

Sentence 2: for example a child's e-mail address is necessary in order to respond to inquiries such as updates on mark meguire's and sammy sosa's home run figures this year or updates of an online magazine sackler said in testimony to (F3 the communications subcommittee of the senate commerce committee)

Sentence 4: the subcommittee is considering the (F6 children's) (F8 online) (F7 privacy) protection act which was drafted on the recommendation of the federal trade commission

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Cut & Paste

- Cut&Paste Summarization
 - Sentence reduction
 - a number of resources are used (lexicon, parser, etc.)
 - exploits connectivity of words in the document (each word is weighted)
 - uses a table of probabilities to decide when to remove a sentence component
 - final decision is based on probabilities, mandatory status, and local context
 - Rules for sentence combination were manually developed

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Sentence condensation

- Sentence condensation: Knight&Marcu'00
 - probabilistic framework: noisy-channel model
 - corpus: automatically collected <sentences, compressions>
 - model explains how short sentences can be re-written
 - a long sentence L can be generated from a short sentence S, two probabilities are needed
 - $P(L/S)$ and $P(S)$
 - the model seeks to maximize $P(L/S) \times P(S)$

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Paraphrase

- Alignment based paraphrase: Barzilay&Lee'2003
- unsupervised approach to learn:
 - patterns in the data & equivalences among patterns
 - X injured Y people, Z seriously = Y were injured by X among them Z were in serious condition
 - learning is done over two different corpus which are comparable in content
- use a sentence clustering algorithm to group together sentences that describe similar events

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Similar event descriptions

- **Cluster of similar sentences**
 - **A Palestinian suicide bomber blew himself up in** a southern city Wednesday, **killing** two other **people and wounding** 27.
 - **A suicide bomber blew himself up in** the settlement of Efrat, on Sunday, **killing** himself **and injuring** seven people.
 - **A suicide bomber blew himself up in** the coastal resort of Netanya on Monday, **killing** three other **people and wounding** dozens more.
- **Variable substitution**
 - **A Palestinian suicide bomber blew himself up in** a southern city DATE, **killing** NUM other **people and wounding** NUM.
 - **A suicide bomber blew himself up in** the settlement of NAME, on DATE, **killing** himself **and injuring** NUM people.
 - **A suicide bomber blew himself up in** the coastal resort of NAME on NAME, **killing** NUM other **people and wounding** dozens more.

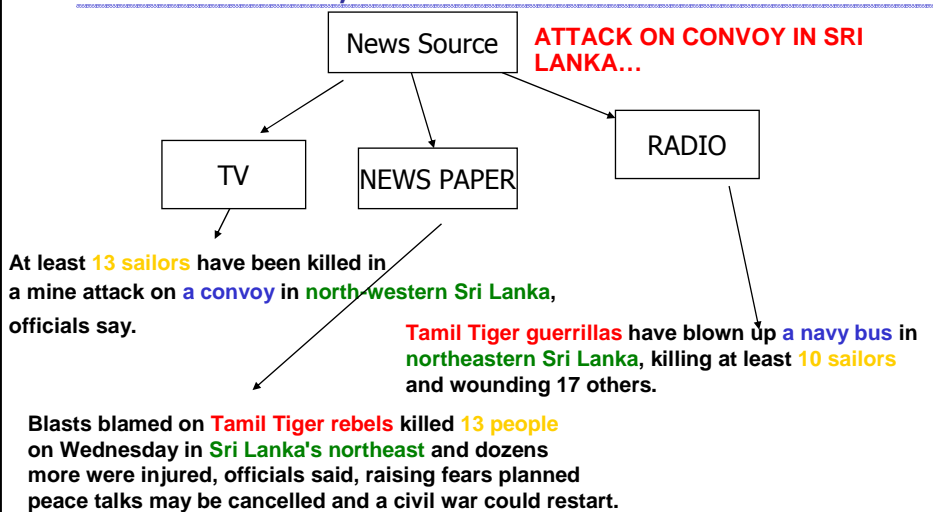
72

Multi-document Summarization

- Input is a set of related documents, redundancy must be avoided
- The relation can be one of the following:
 - report information on the same event or entity (e.g. documents "about" Angelina Jolie)
 - contain information on a given topic (e.g. the Iran – US relations)
 - ...

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Same event, different accounts



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Multi-document summarization

- Redundancy of information
 - the destruction of Rome by the Barbarians in 410....
 - Rome was destroyed by Barbarians.
 - Barbarians destroyed Rome in the V Century
 - In 410, Rome was destroyed. The Barbarians were responsible.
- fragmentary information
 - D1="earthquake in Turkey"; D2="measured 6.5"
- contradictory information
 - D1="killed 3"; D2="killed 4"
- relations between documents
 - inter-document-coreference
 - D1="Tony Blair visited Bush"; D2="UK Prime Minister visited Bush"

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Similarity metrics

- text fragments (sentences, paragraphs, etc.) represented in a vector space model OR as bags of words and use set operations to compare them
- can be "normalized" (stemming, lemmatised, etc)
- stop words can be removed
- weights can be term frequencies or tf*idf...

$$D_i = (d_{i1}, \dots, d_{in})$$
$$sim(D_i, D_j) = \sum d_{ik} \cdot d_{jk} \quad \cos(D_i, D_j) = \frac{\sum_k (d_{ik} \cdot d_{jk})}{\sqrt{\sum_k (d_{ik})^2 \sum_k (d_{jk})^2}}$$

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Morphological techniques

- IR techniques: a query is the input to the system
- Goldstein&al'00. Maximal Marginal Relevance
 - a formula is used allowing the inclusion of sentences relevant to the query but different from those already in the summary

Q = query

R = list of documents

D_i = k - document in list

S = subset of R already scanned

$$MMR(Q, R, S) = \arg \max_{D_i \in R \setminus S} (\lambda \text{sim}_1(D_i, Q) +$$

$$(\lambda - 1) \max_{D_j \in S} \text{sim}_2(D_i, D_j))$$

similarity to query
similarity to document
already seen

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Centroid-based summarization (Radev&al'00; Saggion&Gaizauskas'04)

- given a set of documents create a centroid of the cluster
 - centroid = set of words in the cluster considered "statistically" significant
 - centroid is a set of terms and weights
- centroid score = similarity between a sentence and the centroid
- combine the centroid score with document features such as position
- detect and eliminate sentence redundancy using a similarity metric

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Sentence ordering

- simplest strategy is to present sentences in temporal order when date of document is known
- important for both single and multi-document summarization (Barzilay, Elhadad, McKeown'02)
- some strategies
 - Majority order
 - Chronological order
 - Combination
- probabilistic model (Lapata'03)
 - the model learns order constraints in a particular domain
 - the main component is a probability table
 - $P(S_i|S_{i-1})$ for sentences S
 - the representation of each sentence is a set of features for
 - verbs, nouns, and dependencies

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Semantic techniques

- Knowledge-based summarization in SUMMONS (Radev & McKeown'98)
- Conceptual summarization
 - reduction of content
- Linguistic summarization
 - Conciseness
- corpus of summaries
 - strategies for content selection
 - summarization lexicon
- summarization from a template knowledge base
- planning operators for content selection
 - 8 operators
- linguistic generation
 - generating summarization phrases
 - generating descriptions

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Example summary

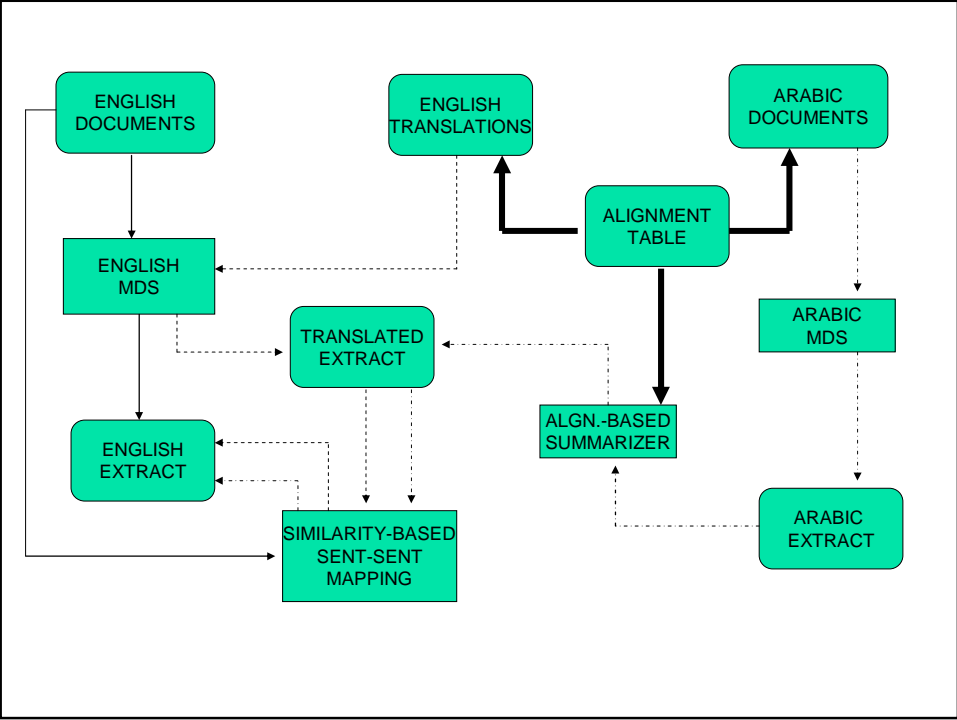
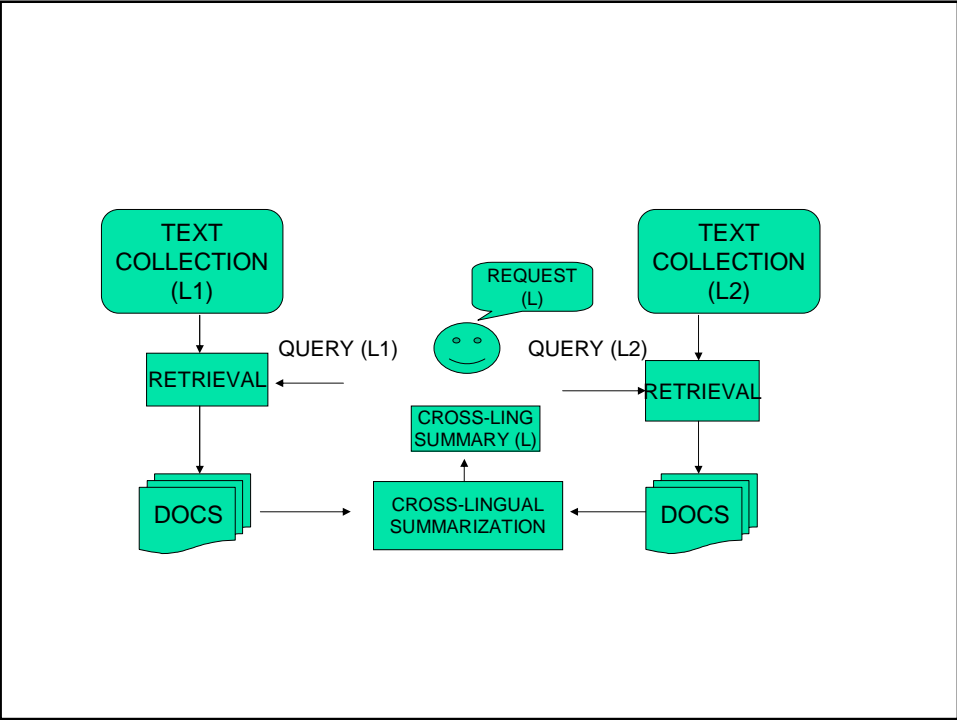
Reuters reported that 18 people were killed on *Sunday* in a bombing in Jerusalem. *The next day*, a bomb in Tel Aviv killed at least 10 people and wounded 30 according to Israel radio. Reuters reported that *at least 12 people* were killed and *105* wounded *in the second incident*. *Later the same day*, Reuters reported that Hamas has claimed responsibility for the act.

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Cross-lingual Summarization

- Given a document in language S, produce a summary of the document in language T
- Given a set of documents in languages you don't know produce a summary in a language you know
- The problem has been addressed as part of the Multilingual Summarization Evaluation (MSE) 2005-2006 but also as part of the Document Understanding Conferences
- This is a common activity – abstracts in English of documents in a language other than English have to be produced to be included in abstracting databases

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Text Summarization Evaluation

- Identify when a particular algorithm can be used commercially
- Identify the contribution of a system component to the overall performance
- Adjust system parameters
- Objective framework to compare own work with work of colleagues
- Expensive because requires the construction of standard sets of data and evaluation metrics
- May involve human judgement
- There is disagreement among judges
- Automatic evaluation would be ideal but not always possible

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Intrinsic Evaluation

- Summary evaluated on its own or comparing it with the source
 - Is the text cohesive and coherent?
 - Does it contain the main topics of the document?
 - Are important topics omitted?
 - Compare summary with ideal summaries

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How intrinsic evaluation works with ideal summaries?

- Given a machine summary (P) compare to one or more human summaries (M) using a scoring function $\text{score}(P,M)$, aggregate the scores per system, use the aggregated score to rank systems
- Compute confidence values to detect true system differences (e.g. $\text{score}(A) > \text{score}(B)$ does not guarantee A better than B)

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Extrinsic Evaluation

- Evaluation in an specific task
 - Can the summary be used instead of the document?
 - Can the document be classified by reading the summary?
 - Can we answer questions by reading the summary?

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Evaluation of extracts

| | | |
|-------|--------|----|
| | System | |
| Human | + | - |
| + | TP | FN |
| - | FP | TN |

- F-score (F)
- Accuracy (A)

- precision (P) $\frac{TP}{TP+FP}$

- recall (R) $\frac{TP}{TP + FN}$

$$\frac{(\beta^2+1)P.R}{\beta^2 P+R}$$

$$\frac{TP+TN}{TP+FP+FP+FN}$$

Evaluation of extracts

- Relative utility (fuzzy) (Radev&al'00)
 - each sentence has a degree of "belonging to a summary"
 - $H=\{(S1,10), (S2,7),\dots(Sn,1)\}$
 - $A=\{ S2,S5,Sn \} \Rightarrow val(S2) + val(S5) + val(Sn)$
 - Normalize dividing by maximum

Other metrics

- Content based metrics
 - the fragments below are similar, however for precision and recall do not count as such
 - “three people were killed in the blast” vs “In the blast, 3 were killed”
 - overlap
 - Based on set n-gram intersection
 - Fine grained metrics than combine different sets of n-grams can be used
 - cosine in Vector Space Model
 - Longest subsequence
 - Minimal number of deletions/insertions needed to obtain two identical chains
 - Do they really measure semantic content?

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SUMMAC evaluation

- High scale system independent evaluation
- basically extrinsic
- 16 systems
- summaries in tasks carried out by defence analysis of the American government

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SUMMAC tasks

- “ad hoc” task
 - indicative summaries
 - system receives a document + a topic and has to produce a topic-based
 - analyst has to classify the document in two categories
 - Document deals with topic
 - Document does not deal with topic

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SUMMAC tasks

- Categorization task
 - generic summaries
 - given n categories and a summary, the analyst has to classify the document in one of the n categories or none of them
 - one wants to measure whether summaries reduce classification time without losing classification accuracy

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SUMMAC experiments

- Experimental conditions
 - text: full-document; fixed-length summary; variable-length summary; default summary (baseline)
 - technology: each of the participants
 - consistency: 51 analysts

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SUMMAC

- data
 - "ad hoc": 20 topics each with 50 documents
 - categorization: 10 topics each with 100 documents (5 categories)
- Results "ad hoc" task
 - Variable length summaries take less time to classify by a factor of 2 (33.12 sec/doc vs. 58.89 sec/doc with full-text)
 - Classification accuracy reduced but not significantly

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SUMMAC

- Results of categorization task
 - only significant differences in time between 10% length summaries and full-documents
 - no difference in classification accuracy
 - many FN observed (automatic summaries lack many relevant topics)
- 3 groups of systems observed
- ad hoc: pair-wise human agreement 69%; 53% 3-way; 16% unanimous

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DUC experience

- National Institute of Standards and Technology (NIST)
- further progress in summarization and enable researchers participate in large-scale experiments
- Document Understanding Conference
 - 2000-2006
 - from 2008 Text Analysis Conference (TAC)

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DUC 2004

- Tasks for 2004
 - Task 1: very short summary
 - Task 2: short summary of cluster of documents
 - Task 3: very short cross-lingual summary
 - Task 4: short cross-lingual summary of document cluster
 - Task 5: short person profile
- Very short (VS) summary ≤ 75 bytes
- Short (S) summary ≤ 665 bytes

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DUC 2004 - Data

- 50 TDT English news clusters (tasks 1 & 2) from AP and NYT sources
 - 10 docs/topic
 - Manual S and VS summaries
- 24 TDT Arabic news clusters (tasks 3 & 4) from France Press
 - 13 topics as before and 12 new topics
 - 10 docs/topic
 - Related English documents available
 - IBM and ISI machine translation systems
 - S and VS summaries created from manual translations
- 50 TREC English news clusters from NYT, AP, XIE
 - Each cluster with documents which contribute to answering "Who is X?"
 - 10 docs/topic
 - Manual S summaries created

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DUC 2004 - Tasks

- Task 1
 - VS summary of each document in a cluster
 - Baseline = first 75 bytes of document
 - Evaluation = ROUGE
- Task 2
 - S summary of a document cluster
 - Baseline = first 665 bytes of most recent document
 - Evaluation = ROUGE

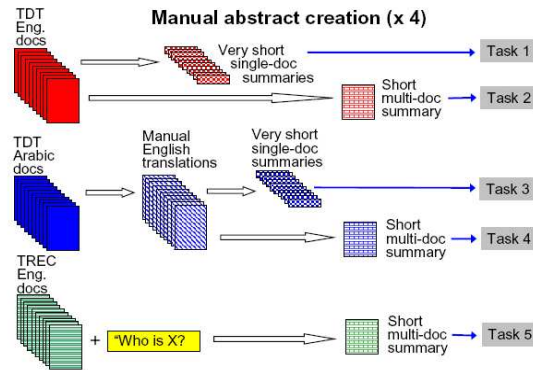
101

DUC 2004 - Tasks

- Task 3
 - VS summary of each translated document
 - Use: automatic translations; manual translations; automatic translations + related English documents
 - Baseline = first 75 bytes of best translation
 - Evaluation = ROUGE
- Task 4
 - S summary of a document cluster
 - Use: same as for task 3
 - Baseline = first 665 bytes of most recent best translated document
 - Evaluation = ROUGE
- Task 5
 - S summary of document cluster + "Who is X?"
 - Evaluation = using Summary Evaluation Environment (SEE): quality & coverage; ROUGE

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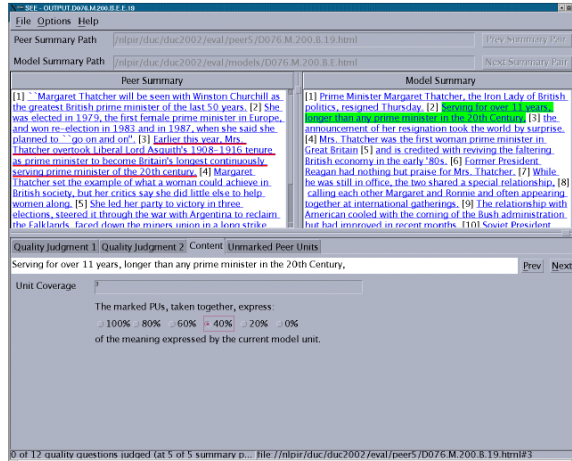
Summary of tasks



DUC 2004 – Human Evaluation

- Human summaries segmented in Model Units (MUs)
- Submitted summaries segmented in Peer Units (PUs)
- For each MU
 - Mark all PUs sharing content with the MU
 - Indicates whether the PUs express 0%, 20%, 40%, 60%, 80%, 100% of MU
 - For all non-marked PU indicate whether 0%, 20%, ... 100% of PUs are related but needn't to be in summary

Summary evaluation environment (SEE)



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DUC 2004 – Questions

- 7 quality questions
- 1) Does the summary build from sentence to sentence to a coherent body of information about the topic?
 - A. Very coherently
 - B. Somewhat coherently
 - C. Neutral as to coherence
 - D. Not so coherently
 - E. Incoherent
- 2) If you were editing the summary to make it more concise and to the point, how much useless, confusing or repetitive text would you remove from the existing summary?
 - A. None
 - B. A little
 - C. Some
 - D. A lot
 - E. Most of the text

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DUC 2004 - Questions

- Read summary and answer the question
- Responsiveness (Task 5)
 - Given a question "Who is X" and a summary
 - Grade the summary according to how responsive it is to the question
 - 0 (worst) - 4 (best)

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ROUGE package

- Recall-Oriented Understudy for Gisting Evaluation
- Developed by Chin-Yew Lin at ISI (see DUC 2004 paper)
- Measures quality of a summary by comparison with ideal(s) summaries
- Metrics count the number of overlapping units

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ROUGE package

- ROUGE-N: N-gram co-occurrence statistics is a recall oriented metric

S1- Police killed the gunman

S2- Police kill the gunman

S3- The gunman kill police

S2=S3

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ROUGE Formula

$$\text{ROUGE - n} = \frac{\sum_{S \in \{\text{Refs}\}} \sum_{n\text{-gram} \in S} \text{count}_{\text{match}}(n\text{-gram})}{\sum_{S \in \{\text{Refs}\}} \sum_{n\text{-gram} \in S} \text{count}(n\text{-gram})}$$

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ROUGE package

- ROUGE-L: Based on longest common subsequence

S1- Police killed the gunman

S2- Police kill the gunman

S3- The gunman kill police

S2 better than S3

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Example (R-1 and R-L)

- Peer: At least 13 sailors have been killed in a mine attack on a convoy in north-western Sri Lanka, officials say.
- Model-1: Tamil Tiger guerrillas have blown up a navy bus in northeastern Sri Lanka, killing at least 10 sailors and wounding 17 others.
- Model-2: Blasts blamed on Tamil Tiger rebels killed 13 people on Wednesday in Sri Lanka's northeast and dozens more were injured, officials said, raising fears planned peace talks may be cancelled and a civil war could restart.

ROUGE-1

- Peer has 21 1-grams (x2 = 42)
- Model-1 has 22
- Model-2 has 37 (total = 59)
- 1-grams hits 16
- 1-gram recall 0.27
- 1-gram precision 0.38
- 1-gram f-score 0.31

ROUGE-L

- LCS: have a in sri lanka
- LCS: killed on in sri lanka officials
- Peer has 21 words (x2 = 42)
- Model-1 has 22
- Model-2 has 37 (total = 59)
- LCS-hits is 11
- LCS recall 0.18
- LCS precision 0.26
- LCS f-score 0.21

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ROUGE package

- ROUGE-W: weighted longest common subsequence, favours consecutive matches
- ROUGE-S: Skip-bigram recall metric
- Arbitrary in-sequence bigrams are computed
- ROUGE-SU adds unigrams to ROUGE-S

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ROUGE package

- Co-relation with human judgment
- Experiments on DUC 2000-2003 data
- 17 ROUGE metrics tested
- Pearson's correlation coefficients computed

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ROUGE Results

- ROUGE-S4, S9, and ROUGE-W1.2 were the best in 100 words single doc task, but were statistically indistinguishable from most other ROUGE metrics.
- ROUGE-1, ROUGE-L, ROUGE-SU4, ROUGE-SU9, and ROUGE-W1.2 worked very well in 10 words headline like task (Pearson's $\rho \sim 97\%$).
- ROUGE-1, 2, and ROUGE-SU* were the best in 100 words multi-doc task but were statistically equivalent to other ROUGE-S and SU metrics.
- ROUGE-1, 2, ROUGE-S, and SU worked well in other multi-doc tasks.

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Pyramids

- Human evaluation of content: Nenkova & Passonneau (2004)
- based on the distribution of content in a pool of summaries
- Summarization Content Units (SCU):
 - fragments from summaries
 - identification of **similar fragments** across summaries

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Pyramids

- SCU have
 - id, a weight, a NL description, and a set of contributors
- SCU1 (w=4) (all similar/identical content)
 - A1 - two Libyans indicted
 - B1 - two Libyans indicted
 - C1 - two Libyans accused
 - D2 – two Libyans suspects were indicted

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Pyramids

- a “pyramid” of SCUs of height n is created for n gold standard summaries
- each SCU in tier T_i in the pyramid has weight i
- with highly weighted SCU on top of the pyramid
- the best summary is one which contains all units of level n, then all units from n-1,...
- if D_i is the number of SCU in a summary which appear in T_i for summary D, then the weight of the summary is:



$$D = \sum_{i=1}^n i * D_i$$

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Pyramids score

- let X be the total number of units in a summary
- it is shown that more than 4 ideal summaries are required to produce reliable rankings

$$Max = \sum_{i=j+1}^n i * |T_i| + j * (X - \sum_{i=j+1}^n |T_i|)$$

$$j = \max_i \left(\sum_{t=i}^n |T_t| \geq X \right)$$

$$Score = D / Max$$

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DUC 2005

- Topic based summarization
 - given a set of documents and a topic description, generate a 250 words summary

```
<TOPIC ID="d324e" GRANULARITY="specific">
How have relations between Argentina and Great Britain developed since the 1982 war over the Falkland Islands? Have diplomatic, economic, and military relations been restored? Do differences remain over the status of the Falkland Islands?
</TOPIC>

<TOPIC ID="d332h" GRANULARITY="general">
What kinds of non-tax crimes have lead to tax evasion prosecutions (failure to file, inaccurate filing), instead of or in addition to prosecution for the non-tax crimes themselves?
</TOPIC>
```

- Evaluation
 - ROUGE
 - Pyramid

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Other evaluations

- Multilingual Summarization Evaluation (MSE) 2005 and 2006
 - basically task 4 of DUC 2004
 - Arabic/English multi-document summarization
 - human evaluation with pyramids
 - automatic evaluation with ROUGE

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Other evaluations

- Text Summarization Challenge (TSC)
 - Summarization in Japan
 - Two tasks in TSC-2
 - A: generic single document summarization
 - B: topic based multi-document summarization
 - Evaluation
 - summaries ranked by content & readability
 - summaries scored in function of a revision based evaluation metric
- Text Analysis Conference 2008 (<http://www.nist.gov/tac>)
 - Summarization, QA, Textual Entailment

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MEAD

- Dragomir Radev and others at University of Michigan
- publicly available toolkit for multi-lingual summarization and evaluation
- implements different algorithms: position-based, centroid-based, it*idf, query-based summarization
- implements evaluation methods: co-selection, relative-utility, content-based metrics

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MEAD

- Perl & XML-related Perl modules
- runs on POSIX-conforming operating systems
- English and Chinese
- summarizes single documents and clusters of documents

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MEAD

- compression = words or sentences;
percent or absolute
- output = console or specific file
- ready-made summarizers
 - lead-based
 - random

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MEAD architecture

- configuration files
- feature computation scripts
- classifiers
- re-rankers

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Configuration file

```
<MEAD-CONFIG TARGET='GA3' LANG='ENG' CLUSTER-PATH='/clair4/mead/data/GA3'  
  DATA-DIRECTORY='/clair4/mead/data/GA3/docsent'>  
  
<FEATURE-SET BASE-DIRECTORY='/clair4/mead/data/GA3/feature/'>  
  <FEATURE NAME='Centroid'  
    SCRIPT='/clair4/mead/bin/feature-scripts/Centroid.pl HK-WORD-enidf ENG' />  
  <FEATURE NAME='Position'  
    SCRIPT='/clair4/mead/bin/feature-scripts/Position.pl' />  
  <FEATURE NAME='Length'  
    SCRIPT='/clair4/mead/bin/feature-scripts/Length.pl' />  
</FEATURE-SET>  
  
<CLASSIFIER COMMAND-LINE='/clair4/mead/bin/default-classifier.pl \  
  Centroid 1 Position 1 Length 9' SYSTEM='MEADORIG' RUN='10/09' />  
  
<RERANKER COMMAND-LINE='/clair4/mead/bin/default-reranker.pl MEAD-cosine 0.7' />  
  
<COMPRESSION BASIS='sentences' PERCENT='20' />  
  
</MEAD-CONFIG>
```

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clusters & sentences

```
<?xml version='1.0'?>  
<!DOCTYPE CLUSTER SYSTEM '/clair4/mead/dtd/cluster.dtd'>  
  
<CLUSTER LANG='ENG'>  
  <D DID='41' />  
  <D DID='81' />  
  <D DID='87' />  
</CLUSTER>
```

```
<?xml version='1.0' encoding='UTF-8'?>  
<!DOCTYPE DOCSENT SYSTEM '/clair4/mead/dtd/docsent.dtd'>  
  
<DOCSENT DID='41' LANG='ENG'>  
<BODY>  
<HEADLINE>  
<S PAR='1' RSNT='1' SNO='1'>Egyptians Suffer Second Air  
Tragedy in a Year </S>  
</HEADLINE>  
<TEXT>  
<S PAR='2' RSNT='1' SNO='2'>CAIRO, Egypt -- The crash of a  
Gulf Air flight that killed 143 people in Bahrain is a disturbing  
deja vu for Egyptians: It is the second plane crash within a  
year to devastate this Arab country.</S>  
<S PAR='2' RSNT='2' SNO='3'>Sixty-three Egyptians were on  
board the Airbus A320, which crashed into shallow Persian Gulf  
waters Wednesday night after circling and trying to land in  
Bahrain.</S>
```

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extract & summary

```
<?xml version='1.0' encoding='UTF-8'?>
<!DOCTYPE EXTRACT SYSTEM '/clair/tools/mead/dtd/extract.dtd'>

<EXTRACT QID='GA3' LANG='ENG' COMPRESSION='7'
SYSTEM='MEADORIG' RUN='Sun Oct 13 11:01:19 2002'>
<S ORDER='1' DID='41' SNO='2' />
<S ORDER='2' DID='41' SNO='3' />
<S ORDER='3' DID='41' SNO='11' />
<S ORDER='4' DID='81' SNO='3' />
<S ORDER='5' DID='81' SNO='7' />
<S ORDER='6' DID='87' SNO='2' />
<S ORDER='7' DID='87' SNO='3' />
</EXTRACT>
```

[1]The Disaster Relief Fund Advisory Committee has approved a grant of \$3 million to Hong Kong Red Cross for emergency relief for flood victims in Jiangxi, Hunan and Hubei, the Mainland.
[2]Together with the earlier grant of \$3 million to World Vision Hong Kong, the Advisory Committee has so far approved \$6 million from the Disaster Relief Fund for relief projects to assist the victims affected by the recent floods in the Mainland.

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Mead at work

- Mead computes sentence features (real-valued)
 - position, length, centroid, etc.
 - similarity with first, is longest sentence, various query-based features
- Mead combines features
- Mead re-rank sentences to avoid repetition

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Summarization with GATE - SUMMA

- GATE (<http://gate.ac.uk>)
 - General Architecture for Text Engineering
 - Processing & Language Resources
 - Documents follow the TIPTSTER architecture

- Text Summarization in GATE - SUMMA
 - processing resources compute feature-values for each sentence in a document
 - features are stored in documents
 - feature-values are combined to score sentences
 - need gate + summarization jar file + creole.xml

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GATE (Cunningham&al'02)

- Framework for development and deployment of natural language processing applications
- A graphical user interface allows users (computational linguists) access, composition and visualisation of different components and experimentation
- A Java library (gate.jar) for programmers to implement and pack applications

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Component Model

- Language Resources (LR)
 - data
- Processing Resources (PR)
 - algorithms
- Visualisation Resources (VR)
 - graphical user interfaces (GUI)

- Components are extendable and user-customisable
 - for example adaptation of an information extraction application to a new domain
 - to a new language where the change involves adaptation of a module for word recognition and sentence recognition

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Documents in GATE

- A document is created from a file located somewhere in your disk or in a remote place or from a string
- A GATE document contains the "text" of your file and sets of annotations
- When the document is created and if a format analyser for your type is available "parsing" (format) will be applied and annotations will be created
 - xml, sgml, html, etc.
- Documents also store features, useful for representing metadata about the document
 - some features are created by GATE

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Documents in GATE

- Annotations have
 - types (e.g. Token)
 - belong to particular annotation sets
 - start and end offsets – where in the document
 - features and values which are used to store orthographic, grammatical, semantic information, etc.
- Documents can be grouped in a Corpus
- Corpus is other language resource in GATE which implements a set of documents

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Documents in GATE

The screenshot shows the GATE 4.0 beta1 build 2707 interface. The main window displays text with various words highlighted in different colors. Annotations are labeled with arrows: "names in text" points to "Cheshire", "information" points to the table, and "semantics" points to the "semantics" column in the table.

| Type | Start | End | Features |
|--------------|-------|-----|-------------------------------------------------------------|
| Organization | 0 | 8 | (orgType=null, rule1=TheOrgKey, rule2=OrgFinal) |
| semantics | 0 | 22 | (all=name(e1), Police(e), government(e1), name(e2), BBC NE) |
| Location | 11 | 13 | (locType=country, rule1=Location, rule2=LocFinal) |
| Organization | 16 | 22 | (orgType=government, rule1=CasOrganization, rule2=OrgF |
| semantics | 33 | 43 | (all=In(e6,e7), bomber(e7), number(7,plural), realisation(€ |

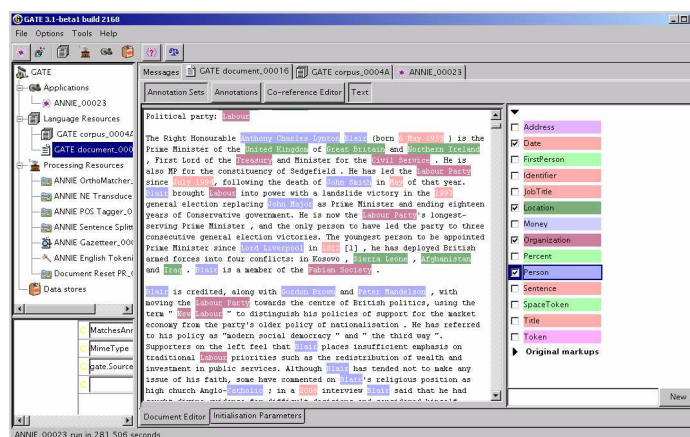
136

Applications in GATE

- Applications are created by sequencing processing resources
- Applications can be run over a Corpus of documents – corpus pipeline
 - so each component is applied to each document in the corpus in sequence
- Applications may not have a corpus as input, but different parameters – pipeline

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Name Entity Recognition



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Text Processing Tools

- Document Structure Analysis
 - different document parsers take care of the structure of your document (xml, html, etc.)
- Tokenisation
- Sentence Identification
- Parts of speech tagging
- Morphological analysis

- All these language resources have as runtime parameter a GATE document, and they will produce annotations over it
- Most resources have initialisation parameters

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Summarization with GATE

- Implemented in JAVA, uses GATE documents to store information (feature, values)
- platform independent
 - Windows, Unix, Linux
- Java library which can be used to create summarization applications
- The system computes a score for each sentence and top ranked sentences are "selected" for an extract

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Applications

- Single document summarization for English, Swedish, Latvian, Spanish, etc.
- Multi-document summarization for English and Arabic – centroid-based summarization
- Cross-lingual summarization (Arabic-English)
- Profile-based summarization

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Resources

- Components to use and create IDF tables as language resources
- Vector Space Model implemented to represent text units (e.g. sentences) as vectors of terms
 - Cosine metric used to measure similarity between units
- N-gram computation and N-gram similarity computation

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Feature Computation (some)

- Each feature value is numeric and it is stored as a feature of each sentence
- Position scorer (absolute, relative)
- Title scorer (similarity between sentence and title)
- Query scorer (similarity between query and sentence)
- Term Frequency scorer (sums $tf \cdot idf$ of sentence terms)
- Centroid scorer (similarity between a cluster centroid and a sentence – used in MDS applications)
- Features are combined using weights to produce a sentence score, this is used for sentence ranking and extraction

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Sentences selected for summary

The screenshot shows the GATE 4.0 interface with a text document open. The document text is as follows:

Joint operation to flush out illegal immigrants
 A territory-wide operation against illegal immigration jointly mounted by the Police, Immigration Department and Labour Department has resulted in the arrests of 32 people.
 The operation is part of the Government's continuous effort to flush out illegal immigrants.
 The 24 suspected illegal immigrants arrested by the Police have been referred to the Immigration Department.
 Those found to be illegal immigrants will be repatriated.
 A Government spokesman reiterated today (Thursday) that there was no question of any amnesty for illegal immigrants.
 "Our latest operation should drive home the point that there will be no change to this policy. Anyone foolish enough to believe otherwise is only cheating oneself," he said.
 The spokesman stressed that apart from continuous checks throughout the territory, there was no let-up in anti-illegal immigration efforts at the border.
 "A high state of vigilance will continue to be maintained by the Police and the security forces both at the land and sea borders," he said.

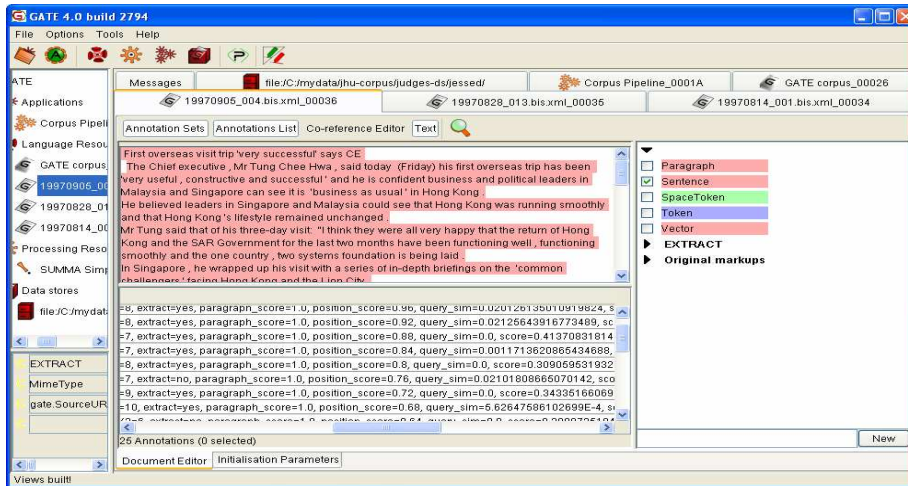
Below the text, a table lists the extracted sentences:

| Type | Set | Start | End | Features |
|---------|---------|-------|-----|----------------------------|
| Summary | EXTRACT | 0 | 49 | (score=0.6320436562770259) |
| Summary | EXTRACT | 227 | 321 | (score=0.6102468602234002) |
| Summary | EXTRACT | 322 | 431 | (score=0.5878641179163462) |
| Summary | EXTRACT | 432 | 490 | (score=0.5363618153080459) |
| Summary | EXTRACT | 491 | 609 | (score=0.5817186730609804) |

The interface also shows a sidebar with 'EXTRACT' and 'Original markings' options, and a 'Document Editor' at the bottom.

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Features computed for each sentence



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Summarizer can be trained

- GATE incorporates ML functionalities through WEKA (Witten&Frank'99) and LibSVM package (<http://www.csie.ntu.edu.tw/~cjlin/libsvm>)
- training and testing modes are available
 - annotate sentences selected by humans as keys (this can be done with a number of resources to be presented)
 - annotate sentences with feature-values
 - learn model
 - use model for creating extracts of new documents

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SummBank

- Johns Hopkins Summer Workshop 2001
- Language Data Consortium (LDC)
- Drago Radev, Simone Teufel, Wai Lam, Horacio Saggion
- Development & implementation of resources for experimentation in text summarization
- <http://www.summarization.com>

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SummBank

- Hong Kong News Corpus
- formatted in XML
- 40 topics/themes identified by LDC
- creation of a list of relevant documents for each topic
- 10 documents selected for each topic = clusters
- 3 judges evaluate each sentence in each document
- relevance judgements associated to each sentence (relative utility)
- these are values between 0-10 representing how relevant is the sentence to the theme of the cluster
- they also created multi-document summaries at different compression rates (50 words, 100 words, etc.)

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```
C:\development\resources\summarization\resources\jhu-clusters\551\19980731_003.bis.xml - Microsoft Inte...
File Edit View Favorites Tools Help
Back Forward Stop Home Search Favorites Media
Address C:\development\resources\summarization\resources\jhu-clusters\551\19980731_003.bis.xml Go Links
<!DOCTYPE DOCSSENT (View Source for full doctype...)>
- <DOCSSENT CLUSTER="551" QUERY="Natural disaster victims aided" DID="D-19980731_003.e"
  DOCNO="4334" LANG="ENG" CORR-DOC="D-19980731_006.c">
- <BODY>
- <HEADLINE>
  <S PAR="1" RSNT="1" SNO="1" JUDGE3="pfried" UTILITY3="6" JUDGE2="jtyson" UTILITY2="10"
    JUDGE1="ahester" UTILITY1="10">Aid for flood victims in the Mainland</S>
  </HEADLINE>
- <TEXT>
  <S PAR="2" RSNT="1" SNO="2" JUDGE3="pfried" UTILITY3="10" JUDGE2="jtyson"
    UTILITY2="10" JUDGE1="ahester" UTILITY1="6">The Disaster Relief Fund Advisory
    Committee has approved a grant of $3 million to Hong Kong Red Cross for emergency
    relief for flood victims in Jiangxi, Hunan and Hubei, the Mainland.</S>
  <S PAR="3" RSNT="1" SNO="3" JUDGE3="pfried" UTILITY3="10" JUDGE2="jtyson" UTILITY2="9"
    JUDGE1="ahester" UTILITY1="6">Together with the earlier grant of $3 million to World
    Vision Hong Kong, the Advisory Committee has so far approved $6 million from the
    Disaster Relief Fund for relief projects to assist the victims affected by the recent
    floods in the Mainland.</S>
  <S PAR="3" RSNT="2" SNO="4" JUDGE3="pfried" UTILITY3="9" JUDGE2="jtyson" UTILITY2="3"
    JUDGE1="ahester" UTILITY1="8">The Committee hopes that the grants can help to
    provide some immediate relief to the victims.</S>
  <S PAR="4" RSNT="1" SNO="5" JUDGE3="pfried" UTILITY3="7" JUDGE2="jtyson" UTILITY2="6"
    JUDGE1="ahester" UTILITY1="7">To ensure that the money will be used for the purpose
    designated, the Government has required Hong Kong Red Cross to submit an
    evaluation report and audited accounts on the use of the grant after the project has
```

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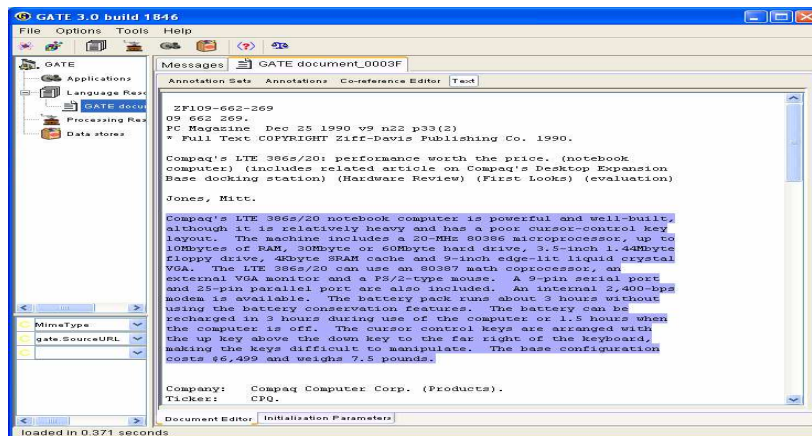
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Ziff-Davis Corpus for Summarization

- Each document contains the DOC, DOCNO, and TEXT fields, etc.
- The SUMMARY field contains a summary of the full text within the TEXT field.
- The TEXT has been marked with ideal extracts at the clause level.

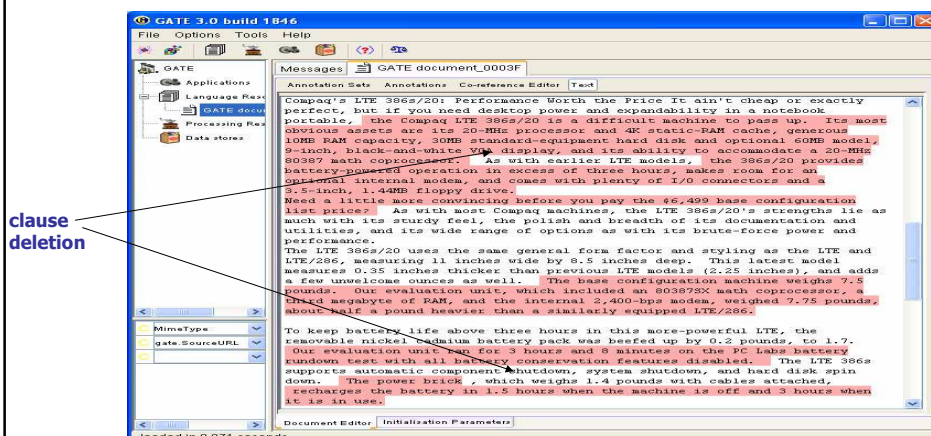
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Document Summary



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Clause Extract



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The extracts

- Marcu'99
- Greedy-based clause rejection algorithm
 - clauses obtained by segmentation
 - "best" set of clauses
 - reject sentence such that the resulting extract is closer to the ideal summary
- Study of sentence compression
 - following Knight & Marcu'01
- Study of sentence combination
 - following Jing&McKeown'00

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Other corpora

- SumTime-Meteo (Sripada&Reiter'05)
 - University of Aberdeen
 - (<http://www.siggen.org/>)
 - weather data to text
- KTH eXtract Corpus (Dalianis&Hassel'01)
 - Stockholm University and KTH
 - news articles (Swedish & Danish)
 - various sentence extracts per document

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Other corpora

- University of Woverhampton
- CAST (Computer-Aided Summarisation Tool) Project (Hasler&Orasan&Mitkov'03)
- newswire texts + popular science
- annotated with:
 - essential sentences
 - unessential fragments in those sentences
 - links between sentences when one is needed for the understanding of the other

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QA Task

- Given a question in natural language and a given text collection (or data base)
- Find the answer to the question in the collection (or data base)
- A collection can be a fixed set of documents or the Web
- Different from Information or Document retrieval which provides lists of documents matching specific queries or users' information needs

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QA Task

- In the Text Retrieval Conferences (TREC) Question Answering evaluation, 3 types of questions are identified
- Factoid questions such as:
 - “Who is Tom Cruise married to?”
- List questions such as:
 - “What countries have atomic bombs?”
- Definition questions such as:
 - “Who is Aaron Copland?” or “What is aspirin?”
(Changed name to “other” question type)

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QA Task

- A collection of documents is given to the participants
 - AP newswire (1998-2000), New York Times newswire (1998-2000), Xinhua News Agency (English portion, 1996-2000)
 - Approximately 1,033,000 documents and 3 gigabytes of text

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QA Task

- In addition to answer the question systems have to provide a “justification” for the answer, e.g., a document where the answer occurs and which gives the possibility of fact checking
 - Who is Tom Cruise married to?
 - Nicole Kidman...Batman star George Clooney and Tom Cruise's wife Nicole Kidman ...

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QA Task

- Question can be stated in a “context-free” environment
 - “Who was Aaron Copland?”
 - “When was the South Pole reached for the first time?”
- Question may depend on previous question or answer
 - “What was Aaron Copland first ballet?”
 - “When was its premiere?”
 - “When was the South Pole reached?”
 - “Who was in charge of the expedition?”

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QA Challenge

- Language variability (paraphrase)
 - Who is the President of Argentina?
 - Kirshner is the President of Argentina
 - The President of Argentina, N. Kirshner
 - N. Kirshner, the Argentinean President
 - The presidents of Argentina, N. Kirshner and Brazil, I.L da Silva...
 - Kishner is elected President of Argentina...

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QA Challenge

- How to locate the information given the question keywords
 - there is a gap between the wording of the question and the answer in the document collection
- Because QA is open domain it is unlikely that a system will have all necessary resources pre-computed to locate answers
 - should we have encyclopaedic knowledge in the system? all bird names, all capital cities, all drug names...
 - current systems exploit web redundancy in order to find answers, so vocabulary variation is not an issue...because of redundancy it is possible that one of the variations will exist on the Web...but what occurs in domains where information is unique...

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QA Challenge

- Sometimes the task requires some deduction or extra linguistic knowledge:
 - What was the most powerful earthquake to hit Turkey?
 1. Find all earthquakes in Turkey
 2. Find intensity for each of those
 3. Pick up the one with higher intensity(some text-based QA systems will find the answer because it is explicitly expressed in text: “The most powerful earthquake in the history of Turkey....”

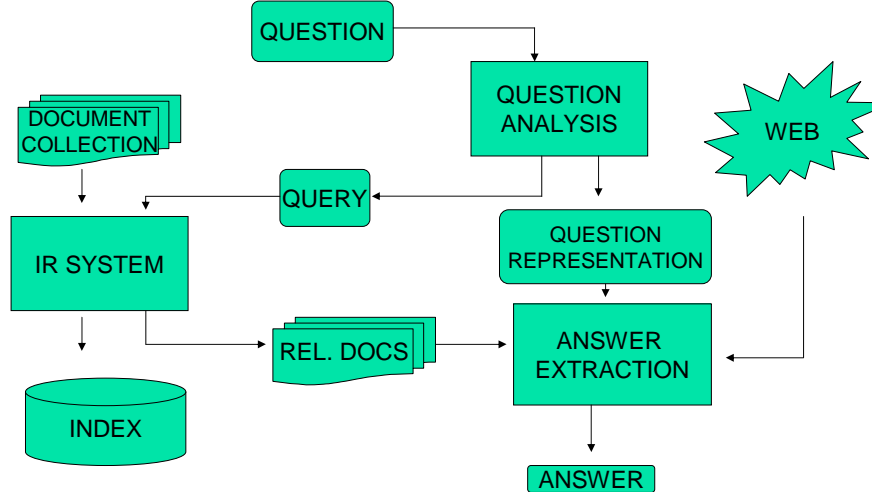
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How to attack the problem?

- Given a question, we could go document by document verifying if it contains the answer
- However, a more practical approach is to have the collection pre-indexed (so we know what terms belong to which document) and use a query to find a set of documents matching the question terms
- This set of matching documents is (depending on the system) further ranked to produce a list where the top document is the most likely to match the question terms
- The document ranking is generally used to inform answer extraction components

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QA Architecture



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Metrics and Scoring

- The principal metric for TREC8-10 was Mean Reciprocal Rank (MRR)
 - Correct answer at rank 1 scores 1
 - Correct answer at rank 2 scores 1/2
 - ...Sum over all questions and divide by number of questions

$$MRR = \frac{\sum_{i=1}^N r_i}{N}$$

- where
 - N = # questions, r_i = the reciprocal of the best (lowest) rank assigned by a system at which a correct answer is found for question i , or 0 if no correct answer was found
- Judgements made by human judges based on answer string alone (lenient evaluation) and by reference to documents (strict evaluation)

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Metrics and Scoring – CWS

- The principal metric for TREC2002 was Confidence Weighted Score

$$\text{confidence weighted score} = \frac{\sum_{i=1}^Q \# \text{correct in first } i \text{ positions}}{Q}$$

- where Q is number of questions
- When only one answer is accepted per question, the metric used is answer accuracy: percent of correct answers

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Answering Definition Questions

- text collection (e.g., AQUAINT)
- definition question (e.g., "What is Goth?", "Who is Aaron Copland?")
 - Goth is the definiendum or term to be defined
- answer for Goth: "a subculture that started as one component of the punk rock scene" or "horror/mystery literature that is dark, eerie, and gloomy" or ...
- architecture: Information Retrieval + Information Extraction
- definiendum gives little information for retrieving definition-bearing passages

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Gold standard by NIST

Qid 1901: Who is Aaron Copland?

| | | |
|---------|-------|-------------------------------------------------|
| 1901 1 | vital | american composer |
| 1901 2 | vital | musical achievements ballets symphonies |
| 1901 3 | vital | born brooklyn ny 1900 |
| 1901 4 | okay | son jewish immigrant |
| 1901 5 | okay | american communist |
| 1901 6 | okay | civil rights advocate |
| 1901 7 | okay | had senile dementia |
| 1901 8 | vital | established home for composers |
| 1901 9 | okay | won oscar for "the Heiress" |
| 1901 10 | okay | homosexual |
| 1901 11 | okay | teacher tanglewood music center boston symphony |

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BBN Approach (Yang et al'03) – best approach in TREC 2003

1. Identify type of question (who or what) and the question target
2. Retrieve 1000 documents using an IR system and the target as query
3. For each sentence in the documents decide if it mention the target
4. Extract *kernel facts* (phrases) from each sentence
5. Rank all kernel facts according to type and similarity to a question profile (centroid)
6. Detect redundant facts – facts that are different from already extracted facts are added to the answer set

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BBN Approach (cont.)

- Check if document contains target
 - First...Last for who, full match for what
 - Sentence match can be direct or through coreference; name match uses last name only
- Extract kernel facts
 - appositive and copula constructions
 - "George Bush, the president..." "George Bush is the president..." (this is done using parsed sentences)

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BBN Approach (cont.)

- Extract kernel facts
 - special and ordinary propositions: pred(role:arg,.....role:arg)
for example love(subj:mary,obj:john) for "Mary loves John"
– an special proposition would be "born in" of "educated in"
 - ~ 40 structured patterns typically used to define terms (TERM is NP)
 - Relations – 24 specific types of binary relations such as the staff of an organization
 - Full sentences used as fall back – do not match any of the above

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BBN Approach (cont.)

- Ranking kernel facts
 - 1) appositives and copula ranked higher; 2) structured patterns; 3) special props; 4) relations; 5) props and sentences
 - Question profile: centroid of definitions from on-line dictionaries (e.g., Wikipedia); centroid of set of biographies; or centroid of all kernel facts
 - a similarity metric using $tf*idf$ is used to rank the facts

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BBN Approach (cont.)

- Redundancy removal
 - for propositions to be equivalent, same predicate and same argument head
 - for structured patterns, if the sentence was selected by a pattern used at least two times, then redundant
 - for other facts, check word overlap (>0.70 overlap is redundant)

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BBN Approach (cont.)

- Algorithm for generating definitions
 - $S = \{\}$
 - Rank all kernel facts based on profile similarity; iterate over the facts and discard redundant until there are m facts in S
 - Rank all remaining based on type (first) and similarity (second) add to S until maximum allowance reached or number of sentences and ordinary props greater than n
 - return S
- there is also a fall back approach when the above procedure does not produce any results – this is based on information retrieval

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Other Techniques

- Off-line strategies for identification in news paper articles of cases of <Concept, Instance> such as "Bush, President of the United States" (Fleishman&al'03)
 - use 2 types of patterns common noun (CN) proper noun (PN) constructions (English goalkeeper Seaman) and appositive constructions (Seaman, the English goalkeeper)
 - use a filter (classifier) to weed out noise
 - a number of features are used for the classifier including the pattern used; the semantic type of the head noun in the pattern; the morphology of the headnoun (e.g. spokesman); etc.

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Other techniques

- DefScriber: definitional predicates and data-driven techniques (Blair-Goldensohn&al'03)
 - predicates = genus, species, non-specific – ML techniques over annotated corpus and patterns (manual)
 - centroid-based similarity and clustering

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Other techniques

- Best TREC QA 2006 def system used the Web to collect word frequencies (Kaiser'07)
 - Given a target obtain snippets from the web for queries containing the target words
 - Create a list of word frequencies
 - Retrieve docs from collection using target
 - Score sentences using the word frequencies
 - Pick up top ranked sentence and re-rank the rest of the sentences
 - Continue until termination

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QA-definition approach (Saggion&Gaizauskas'04)

- linguistic patterns:
 - "is a", "such as", "consists of", etc.
 - many forms in which definitions are expressed in texts
 - match definitions and non-definitions
 - "*Goth is a subculture*" & "*Becoming a Goth is a process that demands lots of effort*"

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QA-definition approach

- Secondary terms
 - Given multiple definitions of a specific definiendum, key defining terms are observed to recur across the definitions
 - For example
 - On the Web "*Goth*" seems to be associated with "*subculture*" in definition passages
 - Can we exploit known definitional contexts to assemble terms likely to co-occur with the definiendum in definitions?

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Approach: use external sources

- Knowledge capture
 - identify definition passages (outside target collection) for the definiendum using patterns
 - WordNet, Wikipedia, Web in general
 - identify (secondary) terms associated to the definiendum in those passages
- During Answer extraction
 - use definiendum & secondary terms during IR
 - use secondary terms & patterns during IE from collection passages

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Examples of Passages

Definiendum: aspirin

| Pattern | | Passage | |
|----------------|-----------------|--------------------------------------------------|------------------------------------------------------|
| Uninstantiated | Instantiated | Relevant | Not Relevant |
| TERM is a | aspirin is a | Aspirin is a weak monotropic acid | Aspirin is a great choice for active people |
| such as TERM | such as aspirin | blood-thinners such as aspirin... | Look for travel size items such as aspirin |
| like TERM | like aspirin | non-steroidal antinflammatory drugs like aspirin | a clown is like aspirin, only he works twice as fast |

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Term List

- create a list of secondary terms
 - all WordNet terms, terms with count > 1 from web

| Definiendum | WordNet | Encyclopedia | Web |
|--------------|------------------------------------------------------|--------------------------------------------------|------------------------------------------------------|
| aspirin | analgesic; anti-inflammatory; antipyretic; drug; ... | inhibit; prostaglandin; ketofren; synthesis; ... | drug; drugs; blood; ibuprofen; medication; pain; ... |
| Aum Shirikyo | * NOTHING * | * NOTHING * | group; groups; cult; religious; japanese; etc. |

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Definition extraction

- perform query expansion & retrieval
- analyse retrieved passages
 - look-up of definiendum, secondary terms, definition patterns
 - identify definition-bearing sentences
- identify answer
 - "Who is Andrew Carnegie?"
 - *In a question-and-answer session after the panel discussion, Clinton cited philanthropists from an earlier era such as Andrew Carnegie, J.P. Morgan, and John D. Rockefeller...*
 - *philanthropists from an earlier era such as Andrew Carnegie, J.P. Morgan, and John D. Rockefeller...*
- filter out redundant answers
 - vector space model and cosine similarity with threshold

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Gold standard by NIST

Qid 1901: Who is Aaron Copland?

| | | |
|---------|-------|-------------------------------------------------|
| 1901 1 | vital | american composer |
| 1901 2 | vital | musical achievements ballets symphonies |
| 1901 3 | vital | born brooklyn ny 1900 |
| 1901 4 | okay | son jewish immigrant |
| 1901 5 | okay | american communist |
| 1901 6 | okay | civil rights advocate |
| 1901 7 | okay | had senile dementia |
| 1901 8 | vital | established home for composers |
| 1901 9 | okay | won oscar for "the Heiress" |
| 1901 10 | okay | homosexual |
| 1901 11 | okay | teacher tanglewood music center boston symphony |

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Evaluation

- NIST
 - matching system answers to human answers
- Metrics
 - « nugget recall » (NR) ~ traditional recall
 - « nugget precision » (NP) ~ space used by system answer is important
 - it is better to save space
 - « F-score » (F) harmonic mean of NR and NP where NR is 5 times more important than NP

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Metrics

answer set is the set of nuggets your system returned

gold standard set is the set of nuggets identified by the assessors

$$NR = \frac{\text{\# of essential nuggets returned}}{\text{\# of essential nuggets}}$$

$allowance = 100 * (\text{\# of essential and non essential nuggets returned})$

$length = \text{number of non - white - space characters in answer set}$

$NP = 1$ if $length < allowance$

$$NP = 1 - \frac{length - allowance}{length} \text{ if } length \geq allowance$$

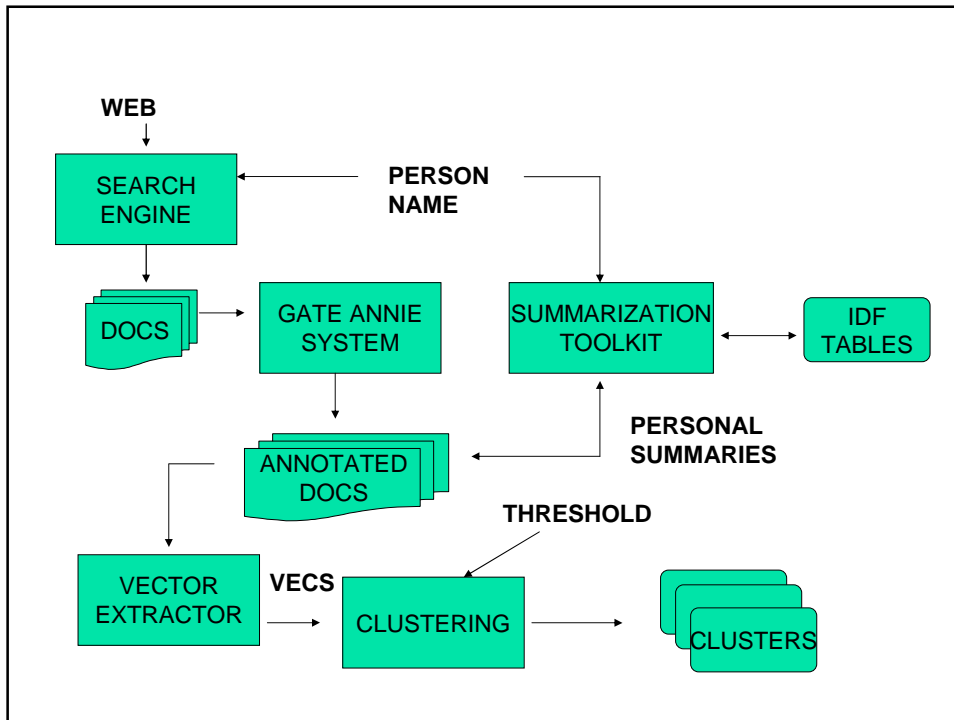
$$F = \frac{(1 + \alpha^2) * NP * NR}{\alpha^2 * NP * NR}$$

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Creation of person profiles

- Creation of person profiles assume that the input set of documents refer to a unique individual (Who is X?)
- Summaries can be used to cluster documents referring to a single individual and each cluster can be summarized in its own right
 - X the scientist; X the politician; X the artist; etc.

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Clustering

Given a set of documents and a threshold

1. Initially there are as many clusters as documents
2. All clusters are compared using a similarity metric
3. At each iteration the two most similar clusters are merged if their similarity is greater than a threshold (otherwise stop and return clusters)
4. Continue with step 2

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Document Representation

- term frequency (tf) of term t in document d = the number of times t occurs in d
- inverted document frequency (idf) of term t in collection c = the number of documents in c containing t
- Bag-of-words approach = words are terms
 - text = (word₁=w₁....)
- Semantic-based approach = named entities are terms (person, location, organization, date, address)
 - text = (ne₁=w₁....)
- Extract terms from document summaries

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Document Representation

- local IDF tables are computed for each set of documents
- weights are $tf * \log(N/idf)$ – N is the size of the document set
- simC is the cluster similarity; simD is the document similarity which is the cosine metric

$$sim_C(C_1, C_2) = \max_{d_i \in C_1; d_j \in C_2} sim_D(d_i, d_j)$$

- threshold estimated over training data
 - the algorithm is run over the training and the similarity value for the optimal f-score noted for each instance
 - the threshold is taken as the average of the optimal thresholds

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Possible Summaries

- Coreference chains associated to the target person name are identified (in each document)
- All elements in a coreference chain containing the target person are marked
- Sentences containing marked person name are selected for summary
 - On Tuesday, Hobbs was arrested on murder charges in the Mother's Day stabbings of his 8-year-old daughter and the little girl's best friend, who were killed after they went biking in a park.
 - Jerry Hobbs said he resigned from the Temecula Valley school board, in part, because other trustees would not consider switching from trimesters to semesters in high schools.

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Possible Summaries

- Sentences containing biographical patterns involving the target person name are selected for a summary
 - Patterns
 - target (is|...) (a|...) dp
 - target's....
 - target, who...
 - Sentences with patterns
 - Jerry Hobbs, who was recently released....
 - Hobbs, 34, was questioned through...
 - Jerry Hobbs is a research professor at

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Background Gathering Application – Summarization and QA (Gaizauskas&al07)

- *Background gathering*: the task of collecting information from the news wire and other archives to contextualise and support a breaking news story
- Backgrounder components
 - similar events in the past; role players' profiles; factual information on the event
 - Collaboration with Press Association
 - 11 year archive with more than 8 million stories
 - Information access system comprising: Information Retrieval, Text Summarization, Question Answering, "Similar Event Search"

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Background Examples

- Breaking News

"Powerful earthquake shook Turkey today"
- Past Similar Events

"Last year an earthquake measuring 6.3-magnitude hit southern Turkey killing 144 people."
- Extremes

"Europe's biggest quake hit Lisbon, Portugal, on November 1, 1755, when 60,000 people died as the city was devastated and giant waves 10 metres high swept through the harbour and on to the shore."
- Definitions

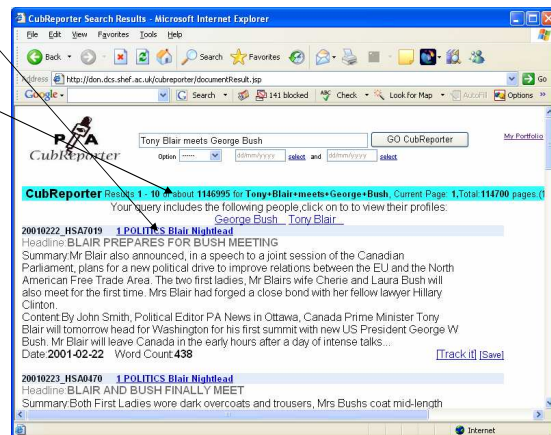
"Quakes occur when the Earth's crust fractures, a process that can be caused by volcanic activity, landslides or subterranean collapse. The resulting plates grind together causing the tremors."¹⁹⁶

Text Analysis Resources

- General Architecture for Text Engineering
 - (http://gate.ac.uk)
 - Tokenisation, Sentence Identification, POS tagging, NE recognition, etc.
- SUPPLE syntactic-semantic Parser
 - (http://nlp.shef.ac.uk/research/supple)
 - syntactic parsing and creation of logical forms
- Summarization Toolkit
 - (http://www.dcs.shef.ac.uk/~saggion)
 - Single and multi document summarization
- Lucene
 - (http://lucene.apache.org)
 - Text indexing and retrieval

Finding Stories

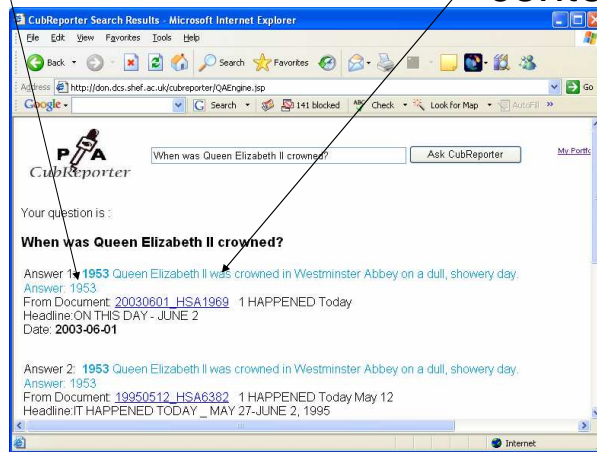
- auto summaries
- profiles
- metadata
- stories



Getting Answers

answers

context



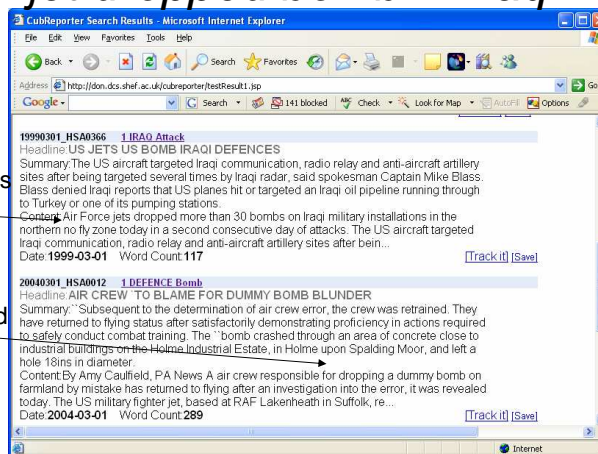
199

Getting Similar Events

"jet dropped bomb in Iraq"

jets drop bombs

bombs dropped



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Some Research Topics

- Multi-sentence non-extractive summarization – beyond headline generation
- “State-of-art” summaries – what is the state of the art on topic x?
- “Background” summaries for a given story
- Adaptable summarization – create a system to summarize event X and techniques to adapt the system to event Y
- Summarize opinions about topic X (person, event, etc.)

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APPENDIX

Abstractor's at work (Endres-Niggemeyer'95)

- systematic study of professional abstractors
- "speak-out-loud" protocols
- discovered operations during document condensation
 - use of document structure
 - top-down strategy + superficial features
 - cut-and-paste

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Abstract's structure (Liddy'91)

- Identification of a text schema (grammar) of abstracts of empirical research
- Identification of lexical clues for predicting the structure
- From abstractors to a linguistic model
 - ERIC and PsycINFO abstractors as subjects of experimentation

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Abstract's structure

- Three levels of information
 - proto-typical
 - hypothesis; subjects; conclusions; methods; references; objectives; results
 - typical
 - relation with other works; research topic; procedures; data collection; etc.
 - elaborated-structure
 - context; independent variable; dependent variable; materials; etc.
- Suggests that types of information can be identified based on "cue" words/expressions

- Many practical implications for IR systems

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Finding source sentences (Saggion&Lapalme'02)

| Source document | Abstract |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------|
| In this paper we have <u>presented</u> a more efficient distributed algorithm which constructs a breadth-first search tree in an asynchronous communication network. | <u>Presents</u> a more efficient distributed breadth-first search algorithm for an asynchronous communication network. |
| We <u>present</u> a model and <u>give</u> an overview of related research. | <u>Presents</u> a model and gives an overview of related research. |
| We <u>analyse</u> the complexity of our algorithm and <u>give</u> some examples of performance on typical networks. | <u>Analyses</u> the complexity of the algorithm and <u>gives</u> some examples of performance on typical networks. |

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Document structure for abstracting

| | |
|-----------------------|-----|
| Title | 2% |
| Author abstract | 15% |
| First section | 34% |
| Last section | 3% |
| Headings and captions | 33% |
| Other sections | 13% |

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Keyword method: Luhn'58

- words which are frequent in a document indicate the topic discussed
- stemming algorithm ("systems" = "system")
- ignore "stop words" (i.e. "the", "a", "for", "is")
- compute the distribution of each word in the document (tf)

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Keyword method

- compute distribution of words in corpus (i.e., collection of texts)
- inverted document frequency

$$idf(term) = \log\left(\frac{NUMDOC}{NUMDOC(term)}\right)$$

$NUMDOC$ #docs in corpus

$NUMDOC(term)$ #docs where term occurs

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Keyword method

- consider only those terms such that $tf * idf > thr$
- identify clusters of keywords
 - $[X_i X_{i+1} \dots X_{i+n-1}]$
- compute weight

$$\frac{\# significant(C)^2}{\# words(C)}$$

$$weight(t) = tf(t) \cdot idf(t)$$

$$weight(S) = \sum_{t \in S} weight(t)$$

- normalize

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Position: Edmundson'69

- Important sentences occur in specific positions
 - "lead-based" summary (Brandow'95)
 - inverse of position in document works well for the "news"

$$position(S_i) = (i)^{-1}$$

- Important information occurs in specific sections of the document (introduction/conclusion)

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Position

- Extra points for sentences in specific sections
 - make a list of important sections
LIST= "introduction", "method", "conclusion",
"results", ...
- Position evidence (Baxendale'58)
 - first/last sentences in a paragraph are topical
 - give extra points to = initial | middle | final

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Position

- Position depends on type of text!
- “Optimum Position Policy” (Lin & Hovy’97) method to learn “positions” which contain relevant information $OPP = \{ (p1,s2), (p2,s1), (p1,s1), \dots \}$
 - p_i = paragraph num; s_i = sentence num
 - “learning” method uses documents + abstracts + keywords provided by authors
 - average number of keywords in the sentence
 - 30% topic not mentioned in text
 - title contains 50% topics
 - title + 2 best positions 60% topics

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Title method: Edmundson’69

- Hypothesis: title of document indicates its content

TITLE:

IBM's statistical question answering system - TREC-11

SENTENCE:

In this paper, we document our efforts to extend our statistical question answering system for TREC-11.

- therefore, words in title help find relevant content
- create a list of title words, remove “stop words”

$$title(S) = |TIT \cap S|$$

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Cue method: Edmundson'69;Paice'81

- Important sentences contain cue words/indicative phrases
 - "The main aim of the present paper is to describe..." (IND)
 - "The purpose of this article is to review..." (IND)
 - "In this report, we outline..." (IND)
 - "Our investigation has shown that..." (INF)
- Some words are considered bonus others stigma
 - bonus: comparatives, superlatives, conclusive expressions, etc.
 - stigma: negatives, pronouns, etc.

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FRUMP

- Knowledge structure = sketchy-scripts, adaptation of Shank & Abelson scripts (1977)
- sketchy-scripts contain only the relevant information of an event
- ~50 sketchy-scripts manually developed for FRUMP
- Interpretation is based on skimming

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FRUMP

- When a key word is found one or more scripts are activated
- The activated scripts guide text interpretation, syntactic analysis is called on demand
- When more than one script is activated, heuristics decide which represents the correct interpretation
- Because the representation is language-independent, it can be used to generate summaries in various languages

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FRUMP

- Evaluation: one day of processing text
- 368 stories
 - 100 not news articles
 - 147 not of the script type
 - 121 could be understood
 - for 29 FRUMP has scripts
 - only 11 were processed correctly + 2 almost correctly = 3% correct; on average 10% correct
- problems
 - incorrect variable binding
 - could not identify script
 - incorrect script used to interpret (no script)
 - incorrect script used to interpret (correct script present)

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FRUMP

- 50 scripts is probably not enough for interpreting most stories
- knowledge was manually coded
- how to learn new scripts

Vatican City. The dead of the Pope shakes the world. He passed away...

Earthquake in the Vatican. One dead.

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Extracts by lexical chains

- Compute the contribution of N to C as follows
 - If C is empty consider the relation to be "repetition" (identity)
 - If not identify the last element M of the chain to which N is related
 - Compute distance between N and M in number of sentences (1 if N is the first word of chain)
 - Contribution of N is looked up in a table with entries given by type of relation and distance
 - e.g., hyper & distance=3 then contribution=0.5

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Extracts by lexical chains

- After inserting all nouns in chains there is a second step
- For each noun, identify the chain where it most contributes; delete it from the other chains and adjust weights
- Select sentences that belong or are covered by “strong chains”

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Extracts by lexical chains

- Strong chain:
 - $\text{weight}(C) > \text{thr}$
 - $\text{thr} = \text{average}(\text{weight}(C_s)) + 2 * \text{sd}(\text{weight}(C_s))$
- selection:
 - H1: select the first sentence that contains a member of a strong chain
 - H2: select the first sentence that contains a “representative” member of the chain
 - H3: identify a text segment where the chain is highly dense (density is the proportion of words in the segment that belong to the chain)

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Headline generation

- Content selection
 - What document features influence the words of the headline
 - A possible feature: the words of the document
 - W is in summary & W is in document
 - This feature can be computed as

$$p(w_i \in T | w_i \in D) = \frac{p(w_i \in D | w_i \in T) \cdot p(w_i \in T)}{p(w_i \in D)}$$

- Other feature: how many words to select?

$$p(\text{len}(T) = n)$$

- Easiest solution is to use a fixed length per document type

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Headline generation

- Surface realization
 - Compute the probability of observing w_1
... w_n

$$\prod p(w_i | w_1 \dots w_{i-1})$$

- 2-grams approximation

$$\prod p(w_i | w_{i-1})$$

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Headline generation

- Model combination
 - we want the best sequence of words

$$p(w_1 \dots w_n) = \prod p(w_i \in T \mid w_i \in D) * \prod p(w_i \mid w_1 \dots w_{i-1})$$

content model
 realization model

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Headline generation

- Search using the following formula (note the use logarithm)

$$\text{argmax}_T (\alpha \sum \log(p(w_i \in T \mid w_i \in D)) + \beta \log(p(\text{len}(T) = n)) + \gamma \sum \log(w_i \mid w_{i-1}))$$

- can be used to find the best sequence
- One has to consider the problem of data sparseness
 - words never seen
 - 2-grams never seen
- There are "smoothing" and "back-off" models to deal with the problems

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Headline Generation: Evaluation

- Compare automatic headline with original headline
 - Words in common
- Various lengths evaluated
 - 4 words give acceptable results (?) 1 out of 5 headlines contain all words of the original
- Grammaticality is an issue, however headlines have their own syntax
- Other features
 - POS & position

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Cut & Paste human examples

Example 1: add description for people or organization

Original Sentences:

Sentence 34: "We're trying to prove that there are big benefits to the patients by involving them more deeply in their treatment", said Paul Clayton, chairman of the dept. dealing with computerized medical information at Columbia.

Sentence 77: "The economic payoff from breaking into health care records is a lot less than for banks", said Clayton at Columbia.

Rewritten Sentences:

Combined: "The economic payoff from breaking into health care records is a lot less than for banks", said Paul Clayton, chairman of the dept. dealing with computerized medical information at Columbia.

Example 2: extract common elements

Original Sentences:

Sentence 8: but it also raises serious questions about the privacy of such highly personal information wafting about the digital world

Sentence 10: The issue thus fits squarely into the broader debate about privacy and security on the internet whether it involves protecting credit card numbers or keeping children from offensive information

Rewritten Sentences :

Combined: but it also raises the issue of privacy of such personal information and this issue hits the head on the nail in the broader debate about privacy and security on the internet.

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Cut&Paste human examples

Example 3: reduce and join sentences by adding connectives or punctuations

Original Sentences:

Sentence 7: Officials said they doubted that Congressional approval would be needed for the changes, and they foresaw no barriers at the Federal level.

Sentence 8: States have wide control over the availability of methadone, however.

Rewritten Sentences :

Combined: Officials said they foresaw no barriers at the Federal level; however, States have wide control over the availability of methadone.

Example 4: reduce and change one sentence to a clause

Original Sentences:

Sentence 25: in GPI, you specify an RGB COLOR value with a 32-bit integer encoded as follows: 00000000* Red * Green * Blue The high 8 bits are set to 0.

Sentence 27: this encoding scheme can represent some 16 million colors

Rewritten Sentences :

Combined: GPI describes RGB colors as 32-bit integers that can describe 16 million colors

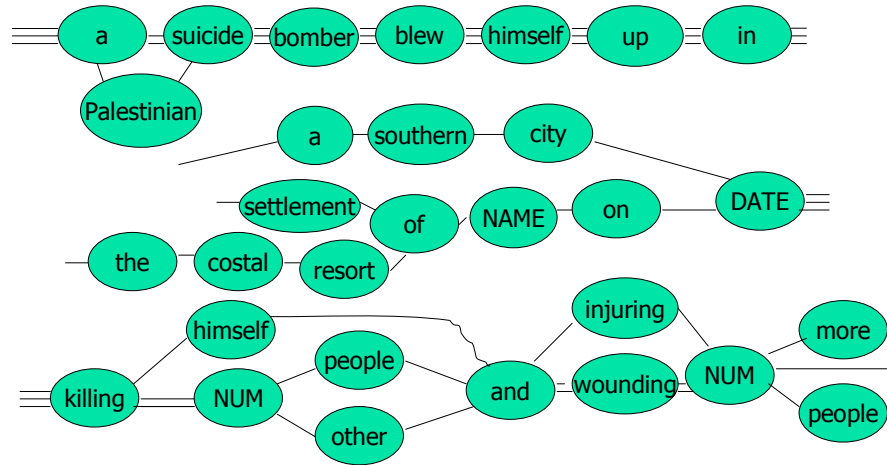
229

Paraphrase

- apply a multi-sequence alignment algorithm to represent paraphrases as lattices
- identify arguments (variable) as zones of great variability in the lattices
- generation of paraphrases can be done by matching against the lattices and generating as many paraphrases as paths in the lattice

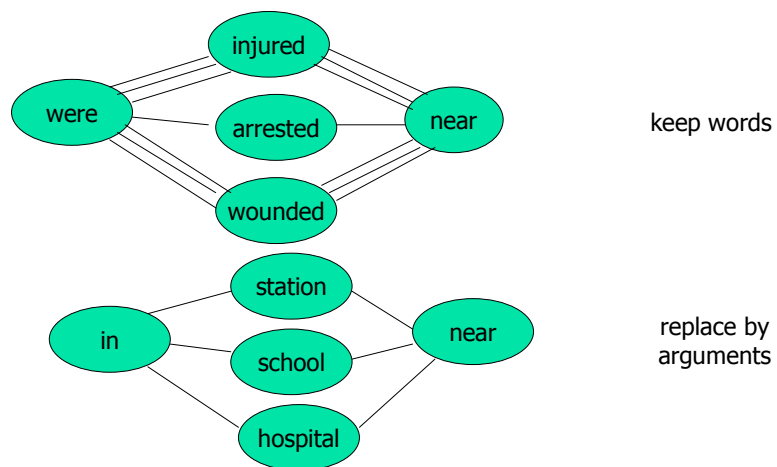
230

Lattices and backbones



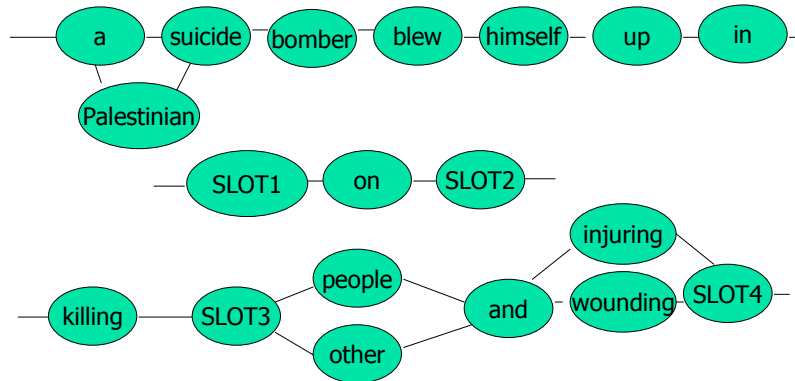
231

Arguments or Synonyms?



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Patterns induced



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Generating paraphrases

- finding equivalent patterns
 - X injured Y people, Z seriously = Y were injured by X among them Z were in serious condition
- exploit the corpus
 - equivalent patterns will have similar arguments/slots in the corpus
 - given two clusters from where the patterns were derived identify sentences "published" on the same date & topic
 - compare the arguments in the pattern variables
 - patterns are equivalent if overlap of word in arguments > thr

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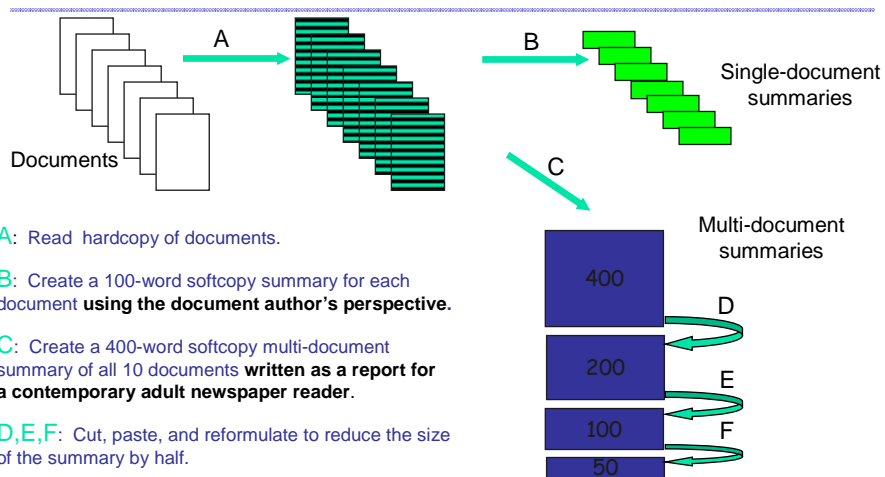
DUC 2001

- Task 1
 - given a document, create a generic summary of the document (100 words)
 - 30 sets of ~10 documents each
- Task 2
 - given a set of documents, create summaries of the set (400, 200, 100, 50 words)
 - 30 sets of ~ 10 documents each

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Human summary creation

SLIDE FROM Document Understanding Conferences



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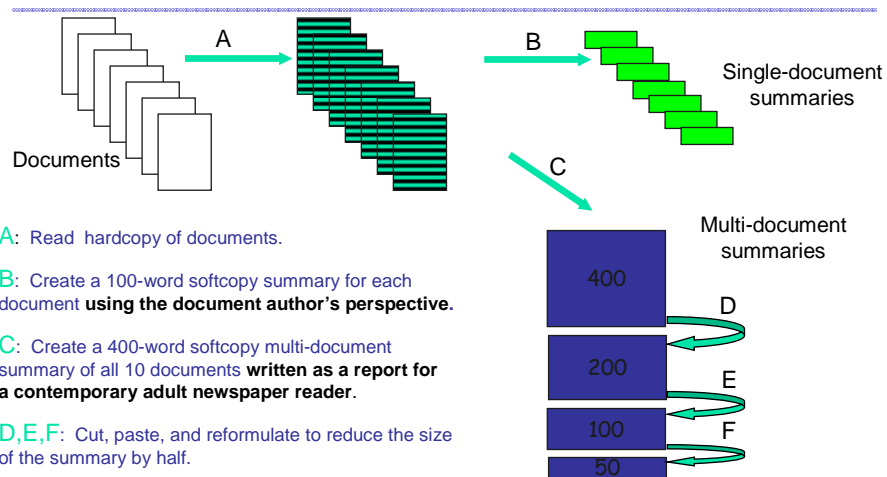
DUC 2002

- Task 1
 - given a document, create a generic summary of the document (100 words)
 - 60 sets of ~10 documents each
- Task 2
 - given a set of documents, create summaries of the set (400, 200, 100, 50 words)
 - given a set of documents, create two extracts (400, 200 words)
 - 60 sets of ~ 10 documents each

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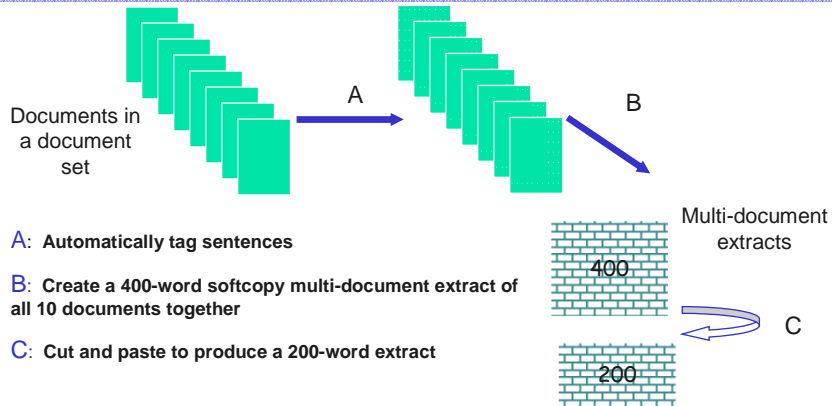
Human summary creation

SLIDE FROM Document Understanding Conferences



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Manual extract creation



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DUC 2003

- Task 1
 - 10 words single-document summary
- Task 2
 - 100 word multi-document summary of cluster related by an event
- Task 3
 - given a cluster and a viewpoint, 100 word multi-document summary of cluster
- Task 4
 - given a cluster and a question, 100 word multi-document summary of cluster

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Viewpoints & Topics & Questions

Viewpoint:

Forty years after poor parenting was thought to be the cause of schizophrenia, researchers are working in many diverse areas to refine the causes and treatments of this disease and enable early diagnosis.

Topic:

30042 - PanAm Lockerbie Bombing Trial
Seminal Event

WHAT: Kofi Annan visits Libya to appeal for surrender of PanAm bombing suspects

WHERE: Tripoli, Libya

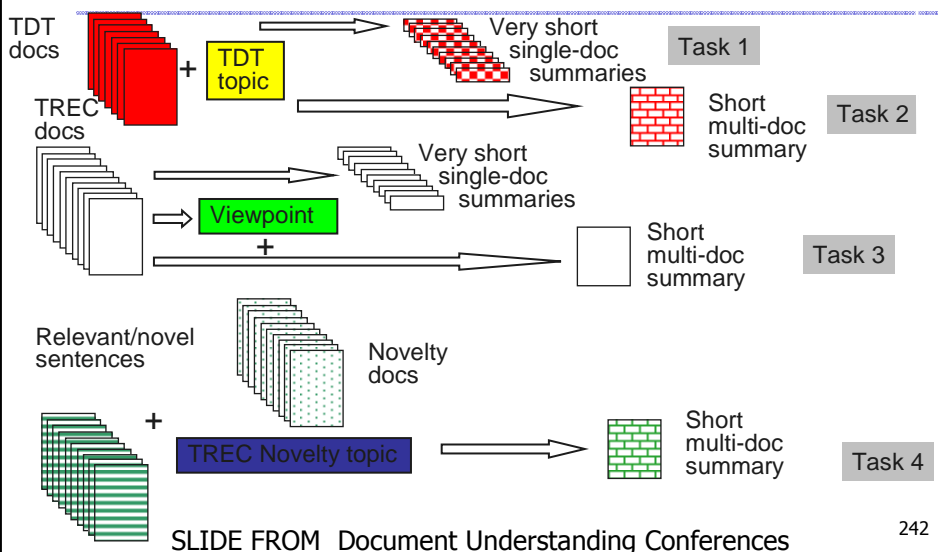
WHO: U.N. Secretary-General Kofi Annan; Libyan leader Moammar Gadhafi

WHEN: December, 1998

Question:

What are the advantages of growing plants in water or some substance other than soil?

Manual abstract creation



Single-document summary (DUC)

```
<SUM DOCSET="d04" TYPE="PERDOC" SIZE="100" DOCREF="FT923-6455" SELECTOR="A" SUMMARIZER="A">
```

US cities along the Gulf of Mexico from Alabama west after hitting southern Florida leaving at least eight dead, causing severe property damage, and leaving 1.2 million homes without electricity. Gusts of up to 165 mph were recorded. It is the fiercest hurricane to hit the US in decades. As Andrew moved across the Gulf there was concern that it might hit New Orleans, which would be particularly susceptible to flooding, or smash into the concentrated offshore oil facilities. President Bush authorized federal disaster assistance for the affected areas. </SUM>

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Multi-document summaries (DUC)

```
<SUM DOCSET="d04" TYPE="MULTI" SIZE="50" DOCREF="FT923-5267 FT923-6110 FT923-6455 FT923-5835 FT923-5089 FT923-5797 FT923-6038" SELECTOR="A" SUMMARIZER="A">
```

Damage in South Florida from Hurricane Andrew in August 1992 cost the insurance industry about \$8 billion making it the most costly disaster in the US up to that time. There were fifteen deaths and in Dade County alone 250,000 were left homeless. </SUM>

```
<SUM DOCSET="d04" TYPE="MULTI" SIZE="100" DOCREF="FT923-5267 FT923-6110 FT923-6455 FT923-5835 FT923-5089 FT923-5089 FT923-5797 FT923-6038" SELECTOR="A" SUMMARIZER="A">
```

Hurricane Andrew which hit the Florida coast south of Miami in late August 1992 was at the time the most expensive disaster in US history. Andrew's damage in Florida cost the insurance industry about \$8 billion. There were fifteen deaths, severe property damage, 1.2 million homes were left without electricity, and in Dade county alone 250,000 were left homeless. Early efforts at relief were marked by wrangling between state and federal officials and frustrating delays, but the White House soon stepped in, dispatching troops to the area and committing the federal government to rebuilding and funding an effective relief effort. </SUM>

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Extracts (DUC)

```
<SUM DOCSET="d061" TYPE="MULTI-E" SIZE="200"
DOCREF="AP880911-0016 AP880912-0137 AP880912-0095 AP880915-0003 AP880916-0060
WSJ880912-0064" SELECTOR="J" SUMMARIZER="B">
<s docid="WSJ880912-0064" num="18" wdcnt="15"> Tropical Storm Gilbert formed in the
eastern Caribbean and strengthened into a hurricane Saturday night.</s>
<s docid="AP880912-0137" num="22" wdcnt="13"> Gilbert reached Jamaica after skirting
southern Puerto Rico, Haiti and the Dominican Republic.</s>
<s docid="AP880915-0003" num="13" wdcnt="33"> Hurricane Gilbert, one of the
strongest storms ever, slammed into the Yucatan Peninsula Wednesday and leveled
thatched homes, tore off roofs, uprooted trees and cut off the Caribbean resorts
of Cancun and Cozumel.</s>
<s docid="AP880915-0003" num="44" wdcnt="21"> The Mexican National Weather Service
reported winds gusting as high as 218 mph earlier Wednesday with sustained winds
of 179 mph.</s>
```

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DUC 2004 – Some systems

- Task 1
 - TOPIARY (Zajic&al'04)
 - University of Maryland; BBN
 - Sentence compression from parse tree
 - Unsupervised Topic Discovery (UTD): statistical technique to associate meaningful names to topics
 - Combination of both techniques
 - MEAD (Erkan&Radev'04)
 - University of Michigan
 - Centroid + Position + Length
 - Select one sentence as S summary

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DUC 2004 – Some systems

- Task 2
 - CLASSY (Conroy&al'04)
 - IDA/Center for Computing Sciences; Department of Defence; University of Maryland
 - HMM with summary and non-summary states
 - Observation input = topic signatures
 - Co-reference resolution
 - Sentence simplification
 - Cluster Relevance & Redundancy Removal (Saggion&Gaizauskas'04)
 - University of Sheffield
 - Sentence cluster similarity + sentence lead document similarity + absolute position
 - N-gram based redundancy detection

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DUC 2004 – Some systems

- Task 3
 - LAKHAS (Douzidia&Lapalme'04)
 - Universite de Montreal
 - Summarize from Arabic documents, then translates
 - Sentence scoring= lead + title + cue + tf*idf
 - Sentence reduction = name substitution; word removal; phrase removal; etc.
 - After translation with Ajeeb (commercial system) good results
 - After translation with ISI best system

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DUC 2004 – Some systems

- Task 5
 - Lite-GISTexter (Lacatusu&al'04)
 - Language Computer Corporation
 - Syntactic structure
 - entity in appositive construction ("X, a ...")
 - entity subject of copula ("X is the...")
 - sentence containing key are scored by syntactic features

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